

PREA AUDIT: PRE-AUDIT QUESTIONNAIRE ADULT PRISONS & JAILS



Original date completed:
Dates revised:
Completed by:
Title:
Date of last agency PREA audit (if applicable):
Date of last facility PREA audit:

AGENCY INFORMATION (IF APPLICABLE)

Name of agency:		
Governing authority or parent agency: <i>(if applicable)</i>		
Physical address:		
Mailing address: <i>(if different from above)</i>		
Telephone number:		
The agency is:	<input type="checkbox"/> Military	<input type="checkbox"/> County
	<input type="checkbox"/> Private for profit	<input type="checkbox"/> Federal
	<input type="checkbox"/> Municipal	
	<input type="checkbox"/> State	
	<input type="checkbox"/> Private not for profit	
Agency mission: <i>(attach additional pages if necessary)</i>		Upload Attachment
Agency Chief Executive Officer		
Name:	Title:	
Email address:	Telephone number:	
Agency-Wide PREA Coordinator		
Name:	Title:	
Email address:	Telephone number:	
PREA coordinator reports to:		
Number of compliance managers who report to PREA coordinator:		
Agency website with PREA information:		
Is the agency accredited by any other organization? <input type="checkbox"/> Yes <input type="checkbox"/> No		
Other		
Number of volunteers and individual contractors currently authorized to enter the facility:		
Number of investigators the agency currently employs for investigating allegations of sexual abuse:		

FACILITY INFORMATION

Name of facility:

Physical address:

Mailing address: *(if different from above)*

Telephone number:

The facility is:

- | | | |
|---|------------------------------------|----------------------------------|
| <input type="checkbox"/> Military | <input type="checkbox"/> County | <input type="checkbox"/> Federal |
| <input type="checkbox"/> Private for profit | <input type="checkbox"/> Municipal | <input type="checkbox"/> State |
| <input type="checkbox"/> Private not for profit | | |

Facility Type:

- | | |
|-------------------------------|---------------------------------|
| <input type="checkbox"/> Jail | <input type="checkbox"/> Prison |
|-------------------------------|---------------------------------|

Facility mission: *(attach additional pages if necessary)*

UPLOAD ATTACHMENT

Facility website with PREA information:

Have there been any internal or external audits and/or accreditations for this facility?

- | | |
|------------------------------|-----------------------------|
| <input type="checkbox"/> Yes | <input type="checkbox"/> No |
|------------------------------|-----------------------------|

UPLOAD ANY RELEVANT REPORTS

Warden/Superintendent

Name of

Warden/Superintendent:

Title:

Email address:

Telephone number:

Facility PREA Compliance Manager

Name of PREA compliance manager:

Title:

Email address:

Telephone number:

Facility Health Service Administrator

Name of health service administrator:

Title:

Email address:

Telephone number:

Facility Characteristics

Designed facility capacity:

Current population of facility:

UPLOAD DAILY POPULATION REPORT FOR THE 1ST, 10TH, AND 20TH DAY OF THE MONTH FOR THE PAST 12 MONTHS

Number of inmates admitted to facility during the past 12 months:

Number of inmates admitted to facility during the past 12 months whose length of stay in the facility was for 30 days or more:

Number of inmates admitted to facility during the past 12 months whose length of stay in the facility was for 72 hours or more:

Number of inmates on date of audit who were admitted to facility prior to August 20, 2012:

Age range of population:

- | | | |
|---|--|---|
| <input type="checkbox"/> Adults (range) | <input type="checkbox"/> Juveniles (range) | <input type="checkbox"/> Youthful inmates (range) |
|---|--|---|

Are they housed separately from the adult population?

- | | | |
|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> Yes | <input type="checkbox"/> No | <input type="checkbox"/> N/A |
|------------------------------|-----------------------------|------------------------------|

Number of youthful inmates housed at this facility during the past 12 months:

Average length of stay or time under supervision:

Facility security level/inmate custody levels:

Number of staff assigned to the facility (including current staff and new hires) during the past 12 months:

Number of staff hired at facility during the past 12 months who may have contact with inmates:

Number of staff assigned to the facility (including current staff and new hires) since the last audit:

Number of contracts in past 12 months for services with contractors who might have contact with inmates:

Physical Plant

Number of buildings:	Number of single cell housing units:	
Number of multiple occupancy cells housing units:		
Number of open bay/dorms housing units:		
Number of segregation cells (administrative and disciplinary):		
<i>UPLOAD SCHEMATIC OF FACILITY LAYOUT</i>		
Description of any video or electronic monitoring technology (including any relevant information about where cameras are placed, where the control room is, retention of video, etc.):		
Medical		
Type of medical facility:		
Forensic sexual assault medical exams are conducted at:		

PREVENTION PLANNING

§115.11 – Zero tolerance of sexual abuse and sexual harassment; PREA coordinator.

115.11 (a)-1	The agency has a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment in facilities it operates directly or under contract.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY Page/Section:
115.11 (a)-2	The facility has a policy outlining how it will implement the agency's zero-tolerance approach to preventing, detecting, and responding to sexual abuse and sexual harassment.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY Page/Section:
115.11 (a)-3	The policy includes definitions of prohibited behaviors regarding sexual assault and sexual harassment.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.11 (a)-4	The policy includes sanctions for those found to have participated in prohibited behaviors.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.11 (a)-5	The policy includes a description of agency strategies and responses to reduce and prevent sexual abuse and sexual harassment of inmates.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.11 (b)-1	The agency employs or designates an upper-level, agency-wide PREA coordinator.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD AGENCY ORGANIZATIONAL CHART
115.11 (b)-2	The PREA coordinator has sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.11 (b)-3	The position of the PREA coordinator in the agency's organizational structure:		
115.11 (c)-1	The facility has designated a PREA compliance manager.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.11 (c)-2	The PREA compliance manager has sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.11 (c)-3	The position of the PREA compliance manager in the agency's organizational structure:		
115.11 (c)-4	Person to whom the PREA compliance manager reports:		

§115.12 – Contracting with other entities for the confinement of inmates.

115.12 (a)-1	The agency has entered into or renewed a contract for the confinement of inmates on or after August 20, 2012, or since the last PREA audit, whichever is later.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD CONTRACTS
115.12 (a)-2	All of the above contracts require contractors to adopt and comply with PREA standards.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.12 (a)-3	The number of contracts for the confinement of inmates that the agency entered into or renewed with private entities or other government agencies on or after August 20, 2012, or since the last PREA audit, whichever is later:		
115.12 (a)-4	The number of above contracts that DID NOT require contractors to adopt and comply with PREA standards:		
115.12 (b)-1	All of the above contracts require the agency to monitor the contractor's compliance with PREA Standards.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.12 (b)-2	The number of the contracts referenced in 115.12 (a) that DO NOT require the agency to monitor contractor's compliance with PREA standards:		

§115.13 – Supervision and monitoring.

115.13 (a)-1	The agency requires each facility it operates to develop, document, and make its best efforts to comply on a regular basis with a staffing plan that provides for adequate levels of staffing, and, where applicable, video monitoring to protect inmates against abuse.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DOCUMENTATION OF STAFFING PLAN DEVELOPMENT PROCESS
			UPLOAD STAFFING PLAN
115.13 (a)-2	Since August 20, 2012, or last PREA audit, whichever is later, the average daily number of inmates:		
115.13 (a)-3	Since August 20, 2012, or last PREA audit, whichever is later, the average daily number of inmates on which the staffing plan was predicated:		
115.13 (b)-1	Each time the staffing plan is not complied with, the facility documents and justifies all deviations from the staffing plan (enter N/A if no deviations from plan).	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	UPLOAD DOCUMENTATION OF DEVIATIONS FROM STAFFING PLANS AND WRITTEN JUSTIFICATIONS FOR ALL SUCH DEVIATION
115.13 (b)-2	If documented, the six most common reasons for deviating from the staffing plan in the last 12 months:	1. 2. 3.	4. 5. 6.
115.13 (c)-1	At least once every year the facility, in collaboration with the PREA coordinator, reviews the staffing plan to see whether adjustments are needed in (a) the staffing plan, (b) the deployment of monitoring technology, or (c) the allocation of agency/facility resources to commit to the staffing plan to ensure compliance.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DOCUMENTATION OF REVIEWS

115.13 (d)-1	The facility requires that intermediate-level and higher-level staff conduct unannounced rounds to identify and deter staff sexual abuse and sexual harassment.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY OR OTHER DOCUMENTATION OF REQUIREMENT
115.13 (d)-2	If yes, the facility documents each unannounced round.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DOCUMENTATION OF UNANNOUNCED ROUNDS
115.13 (d)-3	If yes, over time the unannounced rounds cover all shifts and all areas of the facility.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DOCUMENTATION THAT ROUNDS COVER ALL SHIFTS/AREAS
115.13 (d)-4	If yes, the facility prohibits staff from alerting other staff of the conduct of such rounds.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
§115.14 – Youthful inmates.			
115.14 (a)-1	The facility prohibits placing youthful inmates in a housing unit in which the youthful inmate will have sight, sound, or physical contact with any adult inmate through use of a shared dayroom or other common space, shower area, or sleeping quarters.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY ON HOUSING YOUTHFUL INMATES
115.14 (a)-2	The facility has housing units to which youthful inmates are assigned that provide sight and sound separation between youthful and adult offenders in dayrooms, common areas, showers, and sleeping quarters.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DAILY POPULATION REPORTS FOR THE LAST 12 MONTHS
115.14 (a)-3	The facility places youthful inmates in the SAME HOUSING UNIT as adults.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.14 (a)-4	Youthful inmates who are placed in the SAME HOUSING UNIT as adults have sight, sound, or physical contact with any adult inmate through use of shower area, sleeping quarters, shared dayroom, or other common space.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.14 (a)-5	In the past 12 months, the number of housing units to which youthful inmates are assigned that provide sight and sound separation between youthful and adult offenders in dayrooms, common areas, showers, and sleeping quarters:		
115.14 (a)-6	In the past 12 months, the number of youthful inmates placed in SAME HOUSING UNIT as adults at this facility:		
115.14 (b)-1	The facility maintains sight, sound, and physical separation between youthful inmates and adult inmates in areas OUTSIDE HOUSING UNITS.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.14 (b)-2	The agency always provides direct staff supervision in areas OUTSIDE HOUSING UNITS where youthful inmates have sight, sound, or physical contact with adult inmates.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.14 (c)-1	The facility documents the exigent circumstances for each instance in which youthful inmates' access to large-muscle exercise, legally required education services, and other programs and work opportunities was denied.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.14 (c)-2	In the past 12 months, the number of youthful inmates who have been placed in isolation in order to separate them from adult inmates:		
§115.15 – Limits to cross-gender viewing and searches.			
115.15 (a)-1	The facility conducts cross-gender strip and visual body cavity searches of inmates.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY ON SEARCHES
115.15 (a)-2	In the past 12 months, the number of cross-gender strip and visual body cavity searches of inmates:		
115.15 (a)-3	In the past 12, the number of cross-gender strip and visual body cavity searches of inmates that did not involve exigent circumstances and were performed by non-medical staff:		
115.15 (b)-1	The facility does not permit cross-gender pat-down searches of female inmates, absent exigent circumstances (facilities have until August 20, 2015, to comply; or August 20, 2017, if their rated capacity does not exceed 50 inmates).		
115.15 (b)-2	The facility does not restrict female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision.		
115.15 (b)-3	Number of pat-down searches of female inmates that were conducted by male staff:		
115.15 (b)-4	Number of pat-down searches of female inmates conducted by male staff that did not involve exigent circumstance(s):		
115.15 (c)-1	Facility policy requires that all cross-gender strip searches and cross-gender visual body cavity searches be documented.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.15 (c)-2	Facility policy requires that all cross-gender pat-down searches of female inmates be documented.	<input type="checkbox"/> Yes <input type="checkbox"/> No	

115.15 (d)-1	The facility has implemented policies and procedures that enable inmates to shower, perform bodily functions, and change clothing without non-medical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks (this includes viewing via video camera).	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY ON CROSS-GENDER VIEWING LOGS OF EXIGENT CIRCUMSTANCES
115.15 (d)-2	Policies and procedures require staff of the opposite gender to announce their presence when entering an inmate housing unit.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.15 (e)-1	The facility has a policy prohibiting staff from searching or physically examining a transgender or intersex inmate for the sole purpose of determining the inmate's genital status.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY
115.15 (e)-2	Such searches (described in 115.15(e)-1) occurred in the past 12 months.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.15 (f)-1	Percent of all security staff who received training on conducting cross-gender pat-down searches and searches of transgender and intersex inmates in a professional and respectful manner, consistent with security needs:		UPLOAD TRAINING CURRICULA UPLOAD TRAINING LOGS
§115.16 – Inmates with disabilities and inmates who are limited English proficient.			
115.16 (a)-1	The agency has established procedures to provide disabled inmates equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY/DOCUMENTATION OF PROCEDURES UPLOAD CONTRACTS WITH INTERPRETERS OR OTHER PROFESSIONALS HIRED TO ENSURE EFFECTIVE COMMUNICATION WITH INMATES WHO ARE LIMITED ENGLISH PROFICIENT UPLOAD WRITTEN MATERIALS USED FOR EFFECTIVE COMMUNICATION ABOUT PREA WITH INMATES WITH DISABILITIES OR LIMITED READING SKILLS UPLOAD DOCUMENTATION OF STAFF TRAINING ON PREA-COMPLIANT PRACTICES FOR INMATES WITH DISABILITIES
115.16 (b)-1	The agency has established procedures to provide inmates with limited English proficiency equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.16 (c)-1	Agency policy prohibits use of inmate interpreters, inmate readers, or other types of inmate assistants except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY
115.16 (c)-2	If yes, the agency or facility documents the limited circumstances in individual cases where inmate interpreters, readers, or other types of inmate assistants are used.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.16 (c)-3	In the past 12 months, the number of instances where inmate interpreters, readers, or other types of inmate assistants have been used:		
§115.17 – Hiring and promotion decisions.			
115.17 (a)-1	Agency policy prohibits hiring or promoting anyone who may have contact with inmates and prohibits enlisting the services of any contractor who may have contact with inmates who: (1) Has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997); (2) Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse; or (3) Has been civilly or administratively adjudicated to have engaged in the activity described in paragraph (a)(2) of this section.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY FOR HIRING AND PROMOTING
115.17 (b)-1	Agency policy requires the consideration of any incidents of sexual harassment in determining whether to hire or promote anyone, or to enlist the services of any contractor, who may have contact with inmates.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.17 (c)-1	Agency policy requires that before it hires any new employees who may have contact with inmates, it (a) conducts criminal background record checks, and (b) consistent with federal, state, and local law, makes its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.17 (c)-2	In the past 12 months, the number of persons hired who may have contact with inmates who have had criminal background record checks:		
115.17 (d)-1	Agency policy requires that a criminal background record check be completed before enlisting the services of any contractor who may have contact with inmates.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.17 (d)-2	In the past 12 months, the number of contracts for services where criminal background record checks were conducted on all staff covered in the contract who might have contact with inmates:		

115.17 (e)-1	Agency policy requires that either criminal background record checks be conducted at least every five years for current employees and contractors who may have contact with inmates or that a system is in place for otherwise capturing such information for current employees.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY ON BACKGROUND CHECKS OF CURRENT EMPLOYEES/CONTRACTORS
115.17 (g)-1	Agency policy states that material omissions regarding such misconduct, or the provision of materially false information, shall be grounds for termination.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
§115.18 – Upgrades to facilities and technology.			
115.18 (a)-1	Has the agency/facility acquired any new facilities or made any substantial expansions or modifications of existing facilities since August 20, 2012, or since the last PREA audit, whichever is later?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.18 (b)-1	Has the agency/facility installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

RESPONSIVE PLANNING

§115.21 – Evidence protocol and forensic medical examinations.			
115.21 (a)-1	The agency/facility is responsible for conducting administrative or criminal sexual abuse investigations (including inmate-on-inmate sexual abuse or staff sexual misconduct).	<input type="checkbox"/> Yes <input type="checkbox"/> No (skip to 115.21 (c))	
115.21 (a)-2	If another agency has responsibility for conducting either administrative or criminal sexual abuse investigations, name of the agency that has responsibility:		
115.21 (a)-3	When conducting a sexual abuse investigation, the agency investigators follow a uniform evidence protocol.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD UNIFORM EVIDENCE PROTOCOL
115.21 (b)-1	If applicable, the protocol was adapted from or otherwise based on the most recent edition of the DOJ’s Office on Violence Against Women publication, “A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents,” or similarly comprehensive and authoritative protocols developed after 2011.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	If no, indicate source used to develop the protocol: UPLOAD ALTERNATIVE SOURCE
115.21 (c)-1	The facility offers all victims of sexual abuse access to forensic medical examinations.	<input type="checkbox"/> Yes, onsite <input type="checkbox"/> Yes, at an outside facility <input type="checkbox"/> No (skip to 115.21 (d))	
115.21 (c)-2	Forensic medical examinations are offered without financial cost to the victim.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.21 (c)-3	Examinations are conducted by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs).	<input type="checkbox"/> Yes <input type="checkbox"/> No (skip to 115.21 (c)-5) <input type="checkbox"/> Sometimes, <i>please describe</i> :	
115.21 (c)-4	When SANEs or SAFEs are not available, a qualified medical practitioner performs forensic medical examinations.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.21 (c)-5	The facility documents efforts to provide SANEs or SAFEs.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DOCUMENTATION OF EFFORTS TO PROVIDE SANEs/SAFEs UPLOAD DOCUMENTATION THAT FORENSIC MEDICAL EXAMS ARE OFFERED FOR FREE
115.21 (c)-6	Number of forensic medical exams conducted during the past 12 months:		
115.21 (c)-7	Number of exams performed by SANEs/SAFEs during the past 12 months:		
115.21 (c)-8	Number of exams performed by a qualified medical practitioner during the past 12 months:		
115.21 (d)-1	The facility attempts to make available to the victim a victim advocate from a rape crisis center, in person or by other means.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.21 (d)-2	These efforts are documented.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DOCUMENTATION OF AGREEMENT(S) WITH RAPE CRISIS CENTER FOR SERVICES OR DOCUMENTATION OF EFFORTS
115.21 (d)-3	If and when a rape crisis center is not available to provide victim advocate services, the facility provides a qualified staff member from a community-based organization or a qualified agency staff member.	<input type="checkbox"/> Yes <input type="checkbox"/> No	DOCUMENTATION OF STAFF MEMBER’S QUALIFICATIONS IF STAFF MEMBER USED
115.21 (e)-1	If requested by the victim, a victim advocate, qualified agency staff member, or qualified community-based organization staff member accompanies and supports the victim through the forensic medical examination process and investigatory interviews and provides emotional support, crisis intervention, information, and referrals.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD ANY RELEVANT DOCUMENTATION
115.21 (f)-1	If the agency is not responsible for investigating allegations of sexual abuse and relies on another agency to conduct these investigations, the agency has requested that the responsible agency follow the requirements of paragraphs §115.21 (a) through (e) of the standards.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD AGREEMENTS/MOUS WITH RESPONSIBLE AGENCY
§115.22 – Policies to ensure referrals of allegations for investigations.			
115.22 (a)-1	The agency ensures that an administrative or criminal investigation is completed for all allegations of sexual abuse and sexual harassment (including inmate-on-inmate sexual abuse or staff sexual misconduct).	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICIES AND/OR PROCEDURES GOVERNING INVESTIGATIONS OF ALLEGATIONS OF SEXUAL ABUSE Page/Section:
115.22 (a)-2	During the past 12 months, number of allegations of sexual abuse and sexual harassment that were received:		
115.22 (a)-3	During the past 12 months, number of allegations resulting in an administrative investigation:		
115.22 (a)-4	During the past 12 months, number of allegations referred for criminal investigation:		

115.22 (a)-5	Referring to allegations received during the past 12 months, all administrative and/or criminal investigations were completed.	<input type="checkbox"/> Yes <input type="checkbox"/> No, <i>please explain</i>
115.22 (b)-1	The agency has a policy that requires allegations of sexual abuse or sexual harassment be referred for investigation to an agency with the legal authority to conduct criminal investigations, including the agency if it conducts its own investigations, unless the allegation does not involve potentially criminal behavior.	<input type="checkbox"/> Yes <input type="checkbox"/> No UPLOAD INVESTIGATIVE POLICY Page/Section:
115.22 (b)-2	Agency policy regarding the referral of allegations of sexual abuse or sexual harassment for a criminal investigation is published on the agency website or made publicly available via other means.	<input type="checkbox"/> Yes, <i>please describe</i> <input type="checkbox"/> No
115.22 (b)-3	The agency documents all referrals of allegations of sexual abuse or sexual harassment for criminal investigation.	<input type="checkbox"/> Yes <input type="checkbox"/> No
115.22 (d)-1	If the agency is not responsible for conducting administrative or criminal investigations of alleged sexual abuse, and another <i>state</i> entity has that responsibility, this other entity has a policy governing how such investigations are conducted.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A UPLOAD POLICY Page/Section:
115.22 (e)-1	If the agency is not responsible for conducting administrative or criminal investigations of alleged sexual abuse, and another <i>federal DOJ</i> entity has that responsibility, this other entity has a policy governing how such investigations are conducted.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A UPLOAD POLICY Page/Section:

TRAINING AND EDUCATION

§115.31 – Employee training.

115.31 (a)-1	<p>The agency trains all employees who have contact with inmates on the following matters (check all that apply and indicate where in training curriculum this information is covered):</p> <ul style="list-style-type: none"> <input type="checkbox"/> (1) Agency’s zero-tolerance policy for sexual abuse and sexual harassment. <input type="checkbox"/> (2) How to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures. <input type="checkbox"/> (3) The right of inmates to be free from sexual abuse and sexual harassment. <input type="checkbox"/> (4) The right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment. <input type="checkbox"/> (5) The dynamics of sexual abuse and sexual harassment in confinement. <input type="checkbox"/> (6) The common reactions of sexual abuse and sexual harassment victims. <input type="checkbox"/> (7) How to detect and respond to signs of threatened and actual sexual abuse. <input type="checkbox"/> (8) How to avoid inappropriate relationships with inmates. <input type="checkbox"/> (9) How to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender-nonconforming inmates. <input type="checkbox"/> (10) How to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities. 	UPLOAD TRAINING POLICY AND/OR PROCEDURES Page/Section:
		UPLOAD TRAINING CURRICULUM Page/Section of training curriculum: Page/Section of training curriculum: Page/Section of training curriculum: Page/Section of training curriculum: Page/Section of training curriculum: Page/Section of training curriculum: Page/Section of training curriculum: Page/Section of training curriculum: Page/Section of training curriculum:
115.31 (b)-1	Training is tailored to the gender of the inmates at the facility.	<input type="checkbox"/> Yes <input type="checkbox"/> No
115.31 (b)-2	Employees who are reassigned from facilities housing the opposite gender are given additional training.	<input type="checkbox"/> Yes <input type="checkbox"/> No
115.31 (c)-1	In the past 12 months, the number of employees assigned to the facility who were trained on the PREA requirements enumerated above:	
115.31 (c)-2	For subsequent audits, the number of employees assigned to the facility who were trained or retrained on the PREA requirements since the last audit:	
115.31 (c)-3	Between trainings the agency provides employees with information about current policies regarding sexual abuse and harassment.	<input type="checkbox"/> Yes, please describe <input type="checkbox"/> No
115.31 (c)-4	How often do employees receive refresher training on PREA requirements?	
115.31 (d)-1	The agency documents that employees understand the training they have received through employee signature or electronic verification.	<input type="checkbox"/> Yes <input type="checkbox"/> No

§115.32 – Volunteer and contractor training.

115.32 (a)-1	All volunteers and contractors <i>who have contact with inmates</i> have been trained on their responsibilities under the agency’s policies and procedures regarding sexual abuse/harassment prevention, detection, and response.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD TRAINING CURRICULUM Page/Section:
115.32 (a)-2	In the past 12 months, the number of volunteers and individual contractors who have been trained in agency’s policies and procedures regarding sexual abuse/harassment prevention, detection, and response:		
115.32 (b)-1	The level and type of training provided to volunteers and contractors is based on the services they provide and level of contact they have with inmates.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.32 (b)-2	All volunteers and contractors <i>who have contact with inmates</i> have <i>at least</i> been notified of the agency’s zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.32 (c)-1	The agency maintains documentation confirming that the volunteers/contractors understand the training they have received.	<input type="checkbox"/> Yes <input type="checkbox"/> No	

§115.33 – Inmate education.			
115.33 (a)-1	Inmates receive information at time of intake about the zero-tolerance policy and how to report incidents or suspicions of sexual abuse or harassment.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD AGENCY ZERO-TOLERANCE POLICY AND PROCEDURES (SEE 115.11a) Page/Section: UPLOAD SAMPLE DOCUMENTATION OF INFORMATION PROVIDED (E.G., HANDBOOK OR INFORMATION SHEET)
115.33 (a)-2	Number of inmates admitted during past 12 months who were given this information at intake:		
115.33 (b)-1	The number of those inmates during the past 12 months (whose length of stay in the facility was for 30 days or more) received comprehensive education on their rights to be free from both sexual abuse/harassment and retaliation for reporting such incidents and on agency policies and procedures for responding to such incidents within 30 days of intake:		
115.33 (c)-1	Number of inmates in the facility on date of audit who were admitted to the facility prior to August 20, 2012, who were so educated (as stated in 115.33 (b)-1) by August 20, 2013:		
115.33 (c)-2	Of those who were <i>not</i> educated during this period, all inmates have been educated subsequently.	<input type="checkbox"/> Yes, by what date? <input type="checkbox"/> No, how many?	
115.33 (c)-3	Agency policy requires that inmates who are transferred from one facility to another be educated regarding their rights to be free from both sexual abuse/harassment and retaliation for reporting such incidents and on agency policies and procedures for responding to such incidents to the extent that the policies and procedures of the new facility differ from those of the previous facility.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD AGENCY POLICY Page/Section:
115.33 (d)-1	Inmate PREA education is available in accessible formats for all inmates including those who are (check all that apply):		UPLOAD AGENCY POLICY Page/Section:
	<input type="checkbox"/> Limited English proficient		
	<input type="checkbox"/> Deaf		
	<input type="checkbox"/> Visually impaired		
	<input type="checkbox"/> Otherwise disabled		
	<input type="checkbox"/> Limited in their reading skills		
115.33 (e)-1	The agency maintains documentation of inmate participation in PREA education sessions.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD AGENCY POLICY Page/Section:
115.33 (f)-1	The agency ensures that key information about the agency's PREA policies is continuously and readily available or visible through posters, inmate handbooks, or other written formats.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
§115.34 – Specialized training: Investigations.			
115.34 (a)-1	If the agency conducts its own investigations of allegations of sexual abuse, the agency policy requires that investigators are trained in conducting sexual abuse investigations in confinement settings.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	UPLOAD TRAINING POLICY Page/Section: UPLOAD TRAINING CURRICULUM
115.34 (c)-1	If the agency conducts its own investigations of allegations of sexual abuse, the agency maintains documentation showing that investigators have completed the required training.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DOCUMENTATION Page/Section:
115.34 (c)-2	Number of investigators currently employed who have completed the required training:		
115.34 (d)-1	If an external state agency or Department of Justice component conducts investigations of allegations of sexual abuse (including inmate-on-inmate sexual and staff sexual misconduct), all agents and investigators are trained in conducting investigations in confinement settings.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY AND/OR PROCEDURES Page/Section: UPLOAD TRAINING RECORDS
§115.35 – Specialized training: Medical and mental health care.			
115.35 (a)-1	UPLOAD AGENCY POLICY RELATED TO TRAINING OF MEDICAL AND MENTAL HEALTH CARE PRACTITIONERS Page/Section:		
115.35 (a)-2	Number and percent of all medical and mental health care practitioners who work regularly at this facility and received the training required by agency policy:		# %
115.35 (b)-1	Agency medical staff at this facility conduct forensic exams.	<input type="checkbox"/> Yes <input type="checkbox"/> No (<i>skip to 115.41</i>)	
115.35 (c)-1	The agency maintains documentation pertaining to the training referenced above.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DOCUMENTATION Page/Section:

SCREENING FOR RISK OF SEXUAL VICTIMIZATION AND ABUSIVENESS

§115.41 – Screening for risk of victimization and abusiveness.			
115.41 (a)-1	The agency has a policy that requires screening (upon admission to a facility or transfer to another facility) for risk of sexual abuse victimization or sexual abusiveness toward other inmates.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD SCREENING POLICY Page/Section:
115.41 (b)-1	The policy requires that inmates be screened for risk of sexual victimization or risk of sexually abusing other inmates within 72 hours of their intake.	<input type="checkbox"/> Yes <input type="checkbox"/> No	Page/Section:
115.41 (b)-2	Number of inmates entering the facility (either through intake or transfer) within the past 12 months (whose length of stay in the facility was for 72 hours or more) who were screened for risk of sexual victimization or risk of sexually abusing other inmates within 72 hours of their entry into the facility:		
115.41 (c)-1	Risk assessment is conducted using an objective screening instrument.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD SCREENING INSTRUMENT Page/Section:
115.41 (f)-1	The policy requires that the facility reassess each inmate’s risk of victimization or abusiveness within a set time period, not to exceed 30 days after the inmate’s arrival at the facility, based upon any additional, relevant information received by the facility since the intake screening.	<input type="checkbox"/> Yes <input type="checkbox"/> No	Page/Section:
115.41 (f)-2	Number of inmates entering the facility (either through intake or transfer) within the past 12 months (whose length of stay in the facility was for 30 days or more) who were reassessed for their risk of sexual victimization or of being sexually abusive within 30 days after their arrival at the facility based upon any additional, relevant information received since intake:		
115.41 (g)-1	The policy requires that the inmate’s risk level be reassessed when warranted due to a referral, request, incident of sexual abuse, or receipt of additional information that bears on the inmate’s risk of sexual victimization or abusiveness.	<input type="checkbox"/> Yes <input type="checkbox"/> No	Page/Section:
115.41 (h)-1	The policy prohibits disciplining inmates for refusing to answer (or for not disclosing complete information related to) the following questions: <ul style="list-style-type: none"> • Whether the inmate has a mental, physical, or developmental disability. • Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender non-conforming. • Whether the inmate has previously experienced sexual victimization. • The inmate’s own perception of vulnerability. 	<input type="checkbox"/> Yes <input type="checkbox"/> No	Page/Section:
§115.42 – Use of screening information.			
115.42 (a)-1	The agency/facility uses information from the risk screening required by § 115.41 to inform housing, bed, work, education, and program assignments with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DOCUMENTATION OF USE OF SCREENING INFORMATION FOR THESE PURPOSES UPLOAD DOCUMENTATION OF HOW DECISIONS ARE MADE
115.42 (b)-1	The agency/facility makes individualized determinations about how to ensure the safety of each inmate.	<input type="checkbox"/> Yes <input type="checkbox"/> No, please explain	UPLOAD ANY RELEVANT POLICIES Page/Section:
115.42 (c)-1	The agency/facility makes housing and program assignments for transgender or intersex inmates in a facility on a case-by-case basis.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD ANY RELEVANT POLICIES Page/Section:
§115.43 – Protective custody.			
115.43 (a)-1	The agency has a policy prohibiting the placing of inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made and a determination has been made that there is no available alternative means of separation from likely abusers.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY Page/Section:
115.43 (a)-2	Number of inmates at risk of sexual victimization who were held in involuntary segregated housing in the past 12 months for one to 24 hours awaiting completion of assessment:		
115.43 (c)-1	Number of inmates at risk of sexual victimization who were assigned to involuntary segregated housing in the past 12 months for longer than 30 days while awaiting alternative placement:		
115.43 (d)-1	From a review of case files of inmates at risk of sexual victimization who were held in involuntary segregated housing in the past 12 months, number of case files that include BOTH the following: (a) a statement of the basis for facility’s concern for the inmate’s safety, and (b) the reason or reasons why alternative means of separation cannot be arranged:		
115.43 (e)-1	If an involuntary segregated housing assignment is made, the facility affords each such inmate a review every 30 days to determine whether there is a continuing need for separation from the general population.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DOCUMENTATION OF 30-DAY REVIEWS

REPORTING

§115.51 – Inmate reporting.

<p>115.51 (a)-1 The agency has established procedures allowing for multiple internal ways for inmates to report privately to agency officials about:</p> <ul style="list-style-type: none"> • Sexual abuse or sexual harassment; • Retaliation by other inmates or staff for reporting sexual abuse and sexual harassment; AND • Staff neglect or violation of responsibilities that may have contributed to such incidents. 	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD ANY RELEVANT POLICIES, PROCEDURES, DOCUMENTS (E.G., INMATE HANDBOOKS) Page/Section:
<p>115.51 (b)-1 The agency provides at least one way for inmates to report abuse or harassment to a public or private entity or office that is not part of the agency.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD ANY RELEVANT POLICIES Page/Section: UPLOAD DOCUMENTATION OF AGREEMENT WITH OUTSIDE PUBLIC OR PRIVATE ENTITY RESPONSIBLE FOR TAKING REPORTS
<p>115.51 (b)-2 The agency has a policy requiring inmates detained solely for civil immigration purposes be provided information on how to contact relevant consular officials and relevant officials of the Department of Homeland Security.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD ANY RELEVANT POLICIES Page/Section:
<p>115.51 (c)-1 The agency has a policy mandating that staff accept reports of sexual assault and sexual harassment made verbally, in writing, anonymously, and from third parties.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD ANY RELEVANT POLICIES Page/Section: UPLOAD OTHER DOCUMENTATION, SUCH AS INMATE HANDBOOKS
<p>115.51 (c)-2 Staff are required to document verbal reports. If yes, please provide the timeframe required to document the reports.</p>	<input type="checkbox"/> Yes, <i>timeframe:</i> <input type="checkbox"/> No, <i>please explain:</i>	
UPLOAD DOCUMENTATION MADE OF VERBAL REPORTS		
<p>115.51 (d)-1 The agency has established procedures for staff to privately report sexual abuse and sexual harassment of inmates.</p>	<input type="checkbox"/> Yes, <i>please describe:</i> <input type="checkbox"/> No, <i>please explain:</i>	
UPLOAD POLICIES OR PROCEDURES		
<p>115.51 (d)-2 Staff are informed of these procedures in the following ways:</p>	UPLOAD ANY RELEVANT DOCUMENTATION, SUCH AS STAFF HANDBOOKS	

§115.52 – Exhaustion of administrative remedies.

<p>115.52 (a)-1 The agency has an administrative procedure for dealing with inmate grievances regarding sexual abuse.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No, (<i>skip to 115.53</i>)	UPLOAD POLICY/PROCEDURE REGARDING INMATE GRIEVANCES OF SEXUAL ABUSE Page/Section:
<p>115.52 (b)-1 Agency policy or procedure allows an inmate to submit a grievance regarding an allegation of sexual abuse at any time regardless of when the incident is alleged to have occurred.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No, <i>time limit to submit a grievance:</i>	
<p>115.52 (b)-2 Agency policy requires an inmate to use an <i>informal</i> grievance process, or otherwise to attempt to resolve with staff, an alleged incident of sexual abuse.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<p>115.52 (c)-1 Agency policy and procedure allows an inmate to submit a grievance alleging sexual abuse without submitting it to the staff member who is the subject of the complaint.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No	Page/Section:
<p>115.52 (c)-2 Agency policy and procedure requires that an inmate grievance alleging sexual abuse not be referred to the staff member who is the subject of the complaint.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No	Page/Section:
<p>115.52 (d)-1 Agency policy and procedure requires that a decision on the merits of any grievance or portion of a grievance alleging sexual abuse be made within 90 days of the filing of the grievance.</p>	<input type="checkbox"/> Yes <input type="checkbox"/> No	Page/Section:
<p>115.52 (d)-2 In the past 12 months, number of grievances that were filed that alleged sexual abuse:</p>		
<p>115.52 (d)-3 Number of grievances alleging sexual abuse that reached final decision within 90 days after being filed:</p>		
<p>115.52 (d)-4 In the past 12 months, number of grievances alleging sexual abuse that involved extensions because final decision was not reached within 90 days:</p>	UPLOAD SUPPORTING LOGS/RECORDS	
<p>115.52 (d)-5 In cases where the agency requested an extension of the 90-day period to respond to a grievance and had reached final decisions by the time of the PREA audit, some grievances took longer than a 70- day extension period to resolve.</p>	<input type="checkbox"/> Yes, # >70 days: <input type="checkbox"/> No	

115.52 (d)-6	The agency always notifies the inmate in writing when the agency files for an extension, including notice of the date by which a decision will be made.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DOCUMENTATION OF WRITTEN NOTIFICATIONS OF EXTENSIONS
115.52 (e)-1	Agency policy and procedure permits third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse and to file such requests on behalf of inmates.	<input type="checkbox"/> Yes <input type="checkbox"/> No	Page/Section:
115.52 (e)-2	Agency policy and procedure requires that if the inmate declines to have third-party assistance in filing a grievance alleging sexual abuse, the agency documents the inmate's decision to decline.	<input type="checkbox"/> Yes <input type="checkbox"/> No	Page/Section:
115.52 (e)-3	Number of the grievances alleging sexual abuse filed by inmates in the past 12 months in which the inmate declined third-party assistance, containing documentation of the inmate's decision to decline:		
115.52 (f)-1	The agency has a policy and established procedures for filing an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY/PROCEDURE FOR EMERGENCY GRIEVANCES Page/Section:
115.52 (f)-2	Agency policy and procedure for emergency grievances alleging substantial risk of imminent sexual abuse require an initial response within 48 hours.	<input type="checkbox"/> Yes <input type="checkbox"/> No	Page/Section:
115.52 (f)-3	Number of emergency grievances alleging substantial risk of imminent sexual abuse that were filed in the past 12 months:		
115.52 (f)-4	Number of those grievances in 115.52 (e) – 3 that had an initial response within 48 hours:		
115.52 (f)-5	Agency policy and procedure for emergency grievances alleging substantial risk of imminent sexual abuse require that a final agency decision be issued within five days.	<input type="checkbox"/> Yes <input type="checkbox"/> No	Page/Section:
115.52 (f)-6	Number of the grievances alleging substantial risk of imminent sexual abuse filed in the past 12 months that reached final decisions within five days:		
115.52 (g)-1	The agency has a written policy that limits its ability to discipline an inmate for filing a grievance alleging sexual abuse to occasions where the agency demonstrates that the inmate filed the grievance in bad faith.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY Page/Section:
115.52 (g)-2	In the past 12 months, number of inmate grievances alleging sexual abuse that resulted in disciplinary action by the agency against the inmate for having filed the grievance in bad faith:		
§115.53 – Inmate access to outside confidential support services.			
115.53 (a)-1	The facility provides inmates with access to outside victim advocates for emotional support services related to sexual abuse by doing the following:	UPLOAD POLICY/PROCEDURE Page/Section:	
		UPLOAD HANDBOOKS OR WRITTEN MATERIALS PREPARED FOR INMATES PERTINENT TO REPORTING SEXUAL ABUSE AND ACCESS TO SUPPORT SERVICES	
	• Gives inmates mailing addresses and telephone numbers (including toll-free hotline numbers where available) for local, state, or national victim advocacy or rape crisis organizations.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	• Gives inmates mailing addresses and telephone numbers (including toll-free hotline numbers where available) for immigrant services agencies for persons detained solely for civil immigration purposes.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	• Enables reasonable communication between inmates and these organizations in as confidential a manner as possible.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.53 (b)-1	The facility informs inmates, prior to giving them access to outside support services, the extent to which such communications will be monitored.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.53 (b)-2	The facility informs inmates, prior to giving them access to outside support services, of the mandatory reporting rules governing privacy, confidentiality, and/or privilege that apply for disclosures of sexual abuse made to outside victim advocates, including any limits to confidentiality under relevant federal, state, or local law.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.53 (c)-1	The agency or facility maintains memoranda of understanding or other agreements with community service providers that are able to provide inmates with emotional support services related to sexual abuse.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.53 (c)-2	If YES to 115.53 (c) - 1, the agency or facility maintains copies of those agreements.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD AGREEMENTS/MOUS
115.53 (c)-3	If NO to 115.53 (c) - 1, the agency or facility has <i>attempted</i> to enter into MOUs or other agreements with community service providers that are able to provide such services.	<input type="checkbox"/> Yes <i>please explain why these attempts have not been successful:</i> <input type="checkbox"/> No	
115.53 (c)-4	If YES to 115.53 (c) - 3, the agency maintains documentation of the attempts to enter into such agreements.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DOCUMENTATION OF ATTEMPTS TO ENTER INTO AGREEMENTS
§115.54 – Third-party reporting.			
115.54 (a)-1	The agency or facility provides a method to receive third-party reports of inmate sexual abuse or sexual harassment.	<input type="checkbox"/> Yes <i>please describe the method:</i> <input type="checkbox"/> No	
115.54 (a)-2	The agency or facility publicly distributes information on how to report inmate sexual abuse or sexual harassment on behalf of inmates.	<input type="checkbox"/> Yes <i>please describe:</i> <input type="checkbox"/> No	UPLOAD PUBLICLY DISTRIBUTED INFORMATION

OFFICIAL RESPONSE FOLLOWING AN INMATE REPORT

§115.61 – Staff and agency reporting duties.

115.61 (a)-1	The agency requires all staff to report immediately and according to agency policy any knowledge, suspicion, or information they receive regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY Page/Section:
115.61 (a)-2	The agency requires all staff to report immediately and according to agency policy retaliation against inmates or staff who reported such an incident.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.61 (a)-3	The agency requires all staff to report immediately and according to agency policy any staff neglect or violation of responsibilities that may have contributed to an incident or retaliation.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.61 (b)-1	Apart from reporting to the designated supervisors or officials and designated state or local services agencies, agency policy prohibits staff from revealing any information related to a sexual abuse report to anyone other than to the extent necessary to make treatment, investigation, and other security and management decisions.	<input type="checkbox"/> Yes <input type="checkbox"/> No	

§115.62 – Agency protection duties.

115.62 (a)-1	When the agency or facility learns that an inmate is subject to a substantial risk of imminent sexual abuse, it takes immediate action to protect the inmate (i.e., it takes some action to assess appropriate protective measures without unreasonable delay).	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY Page/Section:
115.62 (a)-2	In the past 12 months, the number of times the agency or facility has determined that an inmate was subject to substantial risk of imminent sexual abuse:		UPLOAD ANY RELEVANT DOCUMENTATION
115.62 (a)-3	If the agency or facility made such determinations in the past 12 months, the average amount of time that passed before taking action:	average # of hours	
115.62 (a)-4	Longest amount of time before taking action--if not "immediate" (i.e., without unreasonable delay), please explain:	#hours OR #days <i>Please explain if not immediate:</i>	

§115.63 – Reporting to other confinement facilities.

115.63 (a)-1	The agency has a policy requiring that, upon receiving an allegation that an inmate was sexually abused while confined at another facility, the head of the facility must notify the head of the facility or appropriate office of the agency/facility where sexual abuse is alleged to have occurred.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY Page/Section:
115.63 (a)-2	During the past 12 months, the number of allegations the facility received that an inmate was abused while confined at another facility:		Please describe your facility's response to these allegations.
115.63 (b)-1	Agency policy requires the facility head to provide such notification as soon as possible, but no later than 72 hours after receiving the allegation.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.63 (c)-1	The agency/facility documents that it has provided such notification within 72 hours of receiving the allegation.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DOCUMENTATION OF NOTIFICATIONS
115.63 (d)-1	Agency/facility policy requires that allegations received from other facilities/agencies are investigated.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY Page/Section:
115.63 (d)-2	In the past 12 months, number of allegations of sexual abuse the facility received from other facilities:		

§115.64 – Staff first responder duties.

115.64 (a)-1	The agency has a first responder policy for allegations of sexual abuse. If yes, the policy requires that, upon learning of an allegation that an inmate was sexually abused, the first security staff member to respond to the report shall be required to (check all that apply): <input type="checkbox"/> (1) Separate the alleged victim and abuser. <input type="checkbox"/> (2) Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence. <input type="checkbox"/> (3) If the abuse occurred within a time period that still allows for the collection of physical evidence, request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating.	UPLOAD POLICY ON FIRST RESPONDER DUTIES Page/Section:
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<input type="checkbox"/> (4) If the abuse occurred within a time period that still allows for the collection of physical evidence, ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating.		
115.64 (a)-2	In the past 12 months, number of allegations that an inmate was sexually abused:	
115.64 (a)-3	Of these allegations, the number of times the first security staff member to respond to the report separated the alleged victim and abuser:	
115.64 (a)-4	In the past 12 months, number of allegations where staff were notified within a time period that still allowed for the collection of physical evidence. :	
115.64 (a)-5	Of these allegations, number of times the first security staff member to respond to the report: <ol style="list-style-type: none"> (1) Preserved and protected any crime scene until appropriate steps could be taken to collect any evidence. (2) Requested that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating. (3) Ensured that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating. 	
115.64 (b)-1	Agency policy requires that if the first staff responder is not a security staff member, that responder shall be required to (check all that apply): <input type="checkbox"/> (1) Request that the alleged victim not take any actions that could destroy physical evidence. <input type="checkbox"/> (2) Notify security staff.	
115.64 (b)-2	Of the allegations that an inmate was sexually abused made in the past 12 months, number of times a non-security staff member was the first responder:	
115.64 (b)-3	Of those allegations responded to first by a non-security staff member, number of times that staff member (if collected): <ol style="list-style-type: none"> (1) Requested that the alleged victim not take any actions that could destroy physical evidence. (2) Notified security staff. 	
§115.65 – Coordinated response.		
115.65 (a)-1	The facility developed a written institutional plan to coordinate actions taken in response to an incident of sexual abuse among staff first responders, medical and mental health practitioners, investigators, and facility leadership.	<input type="checkbox"/> Yes <input type="checkbox"/> No UPLOAD WRITTEN INSTITUTIONAL PLAN
§115.66 – Preservation of ability to protect inmates from contact with abusers.		
115.66 (a)-1	The agency, facility, or any other governmental entity responsible for collective bargaining on the agency’s behalf has entered into or renewed any collective bargaining agreement or other agreement since August 20, 2012, or since the last PREA audit, whichever is later.	<input type="checkbox"/> Yes <input type="checkbox"/> No UPLOAD ALL AGREEMENTS ENTERED INTO SINCE AUGUST 20, 2012/LAST PREA AUDIT
§115.67 – Agency protection against retaliation.		
115.67 (a)-1	The agency has a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff.	<input type="checkbox"/> Yes <input type="checkbox"/> No UPLOAD POLICY PROTECTING INMATES AGAINST RETALIATION Page/Section:
115.67 (a)-2	The agency designates staff member(s) or charges department(s) with monitoring for possible retaliation.	<input type="checkbox"/> Yes <input type="checkbox"/> No Staff Name(s): Staff Title(s): Department(s):
115.67 (c)-1	Number of times an incident of retaliation occurred in the past 12 months:	
§115.68 – Post-allegation protective custody.		
115.68 (a)-1	UPLOAD DOCUMENTATION OF INSTANCES WHEN SEGREGATED HOUSING WAS USED TO PROTECT AN INMATE WHO IS ALLEGED TO HAVE SUFFERED SEXUAL ABUSE	

INVESTIGATIONS

§115.71 – Criminal and administrative agency investigations.

115.71 (a)-1	UPLOAD POLICY RELATED TO CRIMINAL AND ADMINSTRATIVE AGENCY INVESTIGATIONS Refer to page/section:		
115.71 (h)-1	Substantiated allegations of conduct that appear to be criminal are referred for prosecution.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.71 (h)-2	Number of substantiated allegations of conduct that appear to be criminal that were referred for prosecution since August 20, 2012, or since the last PREA audit, whichever is later:		
115.71 (i)-1	Agency retains all written reports pertaining to administrative or criminal investigation of alleged sexual assault or sexual harassment for as long as the alleged abuser is incarcerated or employed by the agency, plus five years.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.71 (k)-1	Any state entity or Department of Justice component that conducts administrative or criminal investigations of alleged sexual assault or sexual harassment does so pursuant to the requirements of standard 115.71.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DOCUMENTATION CONFIRMING THAT A STATE ENTITY OR DOJ COMPONENT IS AWARE OF REQUIREMENTS OF THE STANDARD

§115.72 – Evidentiary standards for administrative investigations.

115.72 (a)-1	The agency imposes a standard of a preponderance of the evidence or a lower standard of proof for determining whether allegations of sexual abuse or sexual harassment are substantiated.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY Refer to page/section:
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§115.73 – Reporting to inmates.

115.73 (a)-1	The agency has a policy requiring that any inmate who makes an allegation that he or she suffered sexual abuse in an agency facility is informed, verbally or in writing, as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded following an investigation by the agency.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY Refer to page/section: UPLOAD SAMPLE OF ALLEGED SEXUAL ABUSE INVESTIGATIONS COMPLETED BY AGENCY
115.73 (a)-2	Number of investigations of alleged inmate sexual abuse that were completed by the facility in the past 12 months:		
115.73 (a)-3	Of the alleged sexual abuse investigations that were completed in the past 12 months, number of inmates who were notified, verbally or in writing, of the results of the investigation:		
115.73 (b)-1	If an outside entity conducts such investigations, the agency requests the relevant information from the investigative entity in order to inform the inmate as to the outcome of the investigation.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	UPLOAD SAMPLE OF ALLEGED SEXUAL ABUSE INVESTIGATIONS COMPLETED BY <i>OUTSIDE</i> AGENCY
115.73 (b)-2	Number of investigations of alleged inmate sexual abuse in the agency's facilities that were completed by an outside agency in the past 12 months:		
115.73 (b)-3	Of the outside agency investigations of alleged sexual abuse that were completed in the past 12 months, number of inmates alleging sexual abuse in an agency facility who were notified verbally or in writing of the results of the investigation:		
115.73 (c)-1	There has been a substantiated or unsubstantiated complaint (i.e., not unfounded) of sexual abuse committed by a staff member against an inmate in an agency facility in the past 12 months.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD SAMPLE DOCUMENTATION OF FOUNDED COMPLAINTS
115.73 (c)-2	If yes, in each case the agency subsequently informed the inmate whenever: (a) the staff member was no longer posted within the inmate's unit; (b) the staff member was no longer employed at the facility; (c) the agency learned that the staff member was indicted on a charge related to sexual abuse within the facility; or (d) the agency learned that the staff member was convicted on a charge related to sexual abuse within the facility.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD SAMPLE DOCUMENTATION OF NOTIFICATIONS
115.73 (d)-1	Following an inmate's allegation that he or she has been sexually abused by another inmate in an agency facility, the agency subsequently informs the alleged victim whenever: the agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility; or the agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD SAMPLE DOCUMENTATION OF NOTIFICATIONS
115.73 (e)-1	The agency has a policy that all notifications to inmates described under this standard are documented.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY ON DOCUMENTATION OF NOTIFICATIONS Refer to page/section: UPLOAD SAMPLE DOCUMENTATION OF NOTIFICATIONS
115.73 (e)-2	Number of notifications to inmates that were made pursuant to this standard in the past 12 months:		
115.73 (e)-3	Of those notifications made in the past 12 months, number that were documented:		

DISCIPLINE

§115.76 – Disciplinary sanctions for staff.

115.76 (a)-1	Staff is subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY ON STAFF DISCIPLINARY SANCTIONS Refer to page/section:
115.76 (b)-1	In the past 12 months, number of staff from the facility that have violated agency sexual abuse or sexual harassment policies:		UPLOAD SAMPLE RECORDS OF TERMINATIONS, RESIGNATIONS, OR OTHER SANCTIONS FOR VIOLATION OF SEXUAL ABUSE OR HARASSMENT POLICY
115.76 (b)-2	In the past 12 months, number of staff from the facility that have been terminated (or resigned prior to termination) for violating agency sexual abuse or sexual harassment policies:		
115.76 (c)-1	Disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) are commensurate with the nature and circumstances of the acts committed, the staff member’s disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.76 (c)-2	In the past 12 months, number of staff from the facility that have been disciplined, short of termination, for violation of agency sexual abuse or sexual harassment policies:		
115.76 (d)-1	All terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, are reported to law enforcement agencies, unless the activity was clearly not criminal, and to any relevant licensing bodies.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.76 (d)-2	In the past 12 months, number of staff from the facility that have been reported to law enforcement or licensing boards following their termination (or resignation prior to termination) for violating agency sexual abuse or sexual harassment policies:		

§115.77 – Corrective action for contractors and volunteers.

115.77 (a)-1	Agency policy requires that any contractor or volunteer who engages in sexual abuse be reported to law enforcement agencies, unless the activity was clearly not criminal, and to relevant licensing bodies.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY REQUIRING NOTIFICATION Refer to page/section:
115.77 (a)-2	Agency policy requires that any contractor or volunteer who engages in sexual abuse be prohibited from contact with inmates.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.77 (a)-3	In the past 12 months, contractors or volunteers have been reported to law enforcement agencies and relevant licensing bodies for engaging in sexual abuse of inmates.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD REPORTS OF SEXUAL ABUSE OF INMATES BY CONTRACTORS OR VOLUNTEERS
115.77 (a)-4	In the past 12 months, the number of contractors/volunteers reported to law enforcement for engaging in sexual abuse of inmates:		
115.77 (b)-1	The facility takes remedial measures and prohibits further contact with inmates in the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DOCUMENTATION OF REMEDIAL MEASURES THAT HAVE BEEN ENFORCED

§115.78 – Disciplinary sanctions for inmates.

115.78 (a)-1	Inmates are subject to disciplinary sanctions pursuant to a formal disciplinary process following an administrative finding that the inmate engaged in inmate-on-inmate sexual abuse.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY ON INMATE DISCIPLINARY SANCTIONS Refer to page/section:
115.78 (a)-2	Inmates are subject to disciplinary sanctions pursuant to a formal disciplinary process following a criminal finding of guilt for inmate-on-inmate sexual abuse.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.78 (a)-3	In the past 12 months, the number of administrative findings of inmate-on-inmate sexual abuse that have occurred at the facility being audited:		
115.78 (a)-4	In the past 12 months, the number of criminal findings of guilt for inmate-on-inmate sexual abuse that have occurred at the facility being audited:		
115.78 (d)-1	The facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for abuse.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.78 (d)-2	If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, the facility considers whether to require the offending inmate to participate in such interventions as a condition of access to programming or other benefits.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.78 (e)-1	The agency disciplines inmates for sexual conduct with staff only upon finding that the staff member did not consent to such contact.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD SAMPLE OF RECORDS OF DISCIPLINARY ACTIONS AGAINST INMATES FOR SEXUAL CONDUCT WITH STAFF
115.78 (f)-1	The agency prohibits disciplinary action for a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred, even if an investigation does not establish evidence sufficient to substantiate the allegation.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.78 (g)-1	The agency prohibits all sexual activity between inmates.	<input type="checkbox"/> Yes <input type="checkbox"/> No	

115.78 (g)-2	If the agency prohibits all sexual activity between inmates and disciplines inmates for such activity, the agency deems such activity to constitute sexual abuse only if it determines that the activity is coerced.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A
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MEDICAL AND MENTAL HEALTH CARE

§115.81 – Medical and mental health screenings; history of sexual abuse.

115.81 (a)/(c)-1	All inmates at this facility who have disclosed any prior sexual victimization during a screening pursuant to §115.41 are offered a follow-up meeting with a medical or mental health practitioner.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY ON MEDICAL AND MENTAL HEALTH SCREENING Refer to page/section:
115.81 (a)/(c)-2	If yes, the follow-up meeting was offered within 14 days of the intake screening.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.81 (a)/(c)-3	In the past 12 months, the percent of inmates who disclosed prior victimization during screening who were offered a follow-up meeting with a medical or mental health practitioner:		
115.81 (a)/(c)-4	Medical and mental health staff maintain secondary materials (e.g., form, log) documenting compliance with the above required services.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD SAMPLE MEDICAL/MENTAL HEALTH SECONDARY MATERIALS
115.81 (b)-1	If this facility is a prison, all prison inmates who have ever previously perpetrated sexual abuse are offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.81 (d)-1	Information related to sexual victimization or abusiveness that occurred in an institutional setting is strictly limited to medical and mental health practitioners.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD SAMPLE OF INMATE CONFINEMENT RECORDS/ OTHER RECORDS AVAILABLE TO CUSTODY STAFF OR NON-HEALTH PERSONNEL
115.81 (d)-2	If no, the information shared with other staff is strictly limited to informing security and management decisions, including treatment plans, housing, bed, work, education, and program assignments, or as otherwise required by federal, state, or local law.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.81 (e)-1	UPLOAD ANY CONSENT DOCUMENTATION/LOGS OBTAINED FROM INMATES OVER AGE 18 BY MEDICAL/MENTAL HEALTH PRACTITIONERS BEFORE REPORTING INFORMATION ABOUT PRIOR SEXUAL VICTIMIZATION THAT DID NOT OCCUR IN AN INSTITUTIONAL SETTING		

§115.82 – Access to emergency medical and mental health services.

115.82(a)-1	Medical and mental health staff maintain secondary materials (e.g., form, log) documenting the timeliness of emergency medical treatment and crisis intervention services that were provided; the appropriate response by non-health staff in the event health staff are not present at the time the incident is reported; and the provision of appropriate and timely information and services concerning contraception and sexually transmitted infection prophylaxis.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD SAMPLE MEDICAL/MENTAL HEALTH SECONDARY FORMS/LOGS RE: ACCESS TO SERVICES
115.82(d)-1	Treatment services are provided to every victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD ANY RELEVANT POLICY/GUIDELINES ON MEDICAL/MENTAL HEALTH TREATMENT: SEXUAL ASSAULT

§115.83 – Ongoing medical and mental health care for sexual abuse victims and abusers.

115.83 (a)-1	The facility offers medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY ON ONGOING MEDICAL/MENTAL HEALTH TREATMENT FOR VICTIMS AND ABUSERS Refer to page/section:
115.83 (d)-1	Female victims of sexual abuse while incarcerated are offered pregnancy tests.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA (all male facility)	
115.83 (e)-1	If pregnancy results from sexual abuse while incarcerated, victims receive timely and comprehensive information about, and timely access to, all lawful pregnancy-related medical services.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> NA (all male facility)	
115.83 (f)-1	Inmate victims of sexual abuse while incarcerated are offered tests for sexually transmitted infections as medically appropriate.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.83 (h)-1	The facility attempts to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offers treatment when deemed appropriate by mental health practitioners.	<input type="checkbox"/> Yes <input type="checkbox"/> No	

§115.86 – Sexual abuse incident reviews.

115.86 (a)-1	The facility conducts a sexual abuse incident review at the conclusion of every sexual abuse investigation, unless the allegation has been determined to be unfounded.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY ON CONDUCTING SEXUAL ABUSE INCIDENT REVIEWS Refer to page/section: UPLOAD DOCUMENTATION OF INCIDENT REVIEWS
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			UPLOAD SAMPLE DOCUMENTATION OF COMPLETED ADMINISTRATIVE INVESTIGATIONS OF SEXUAL ABUSE
115.86 (a)-2	Excluding only "unfounded " incidents, in the past 12 months the number of administrative investigations of alleged sexual abuse completed at the facility:		
115.86 (b)-1	Sexual abuse incident reviews are ordinarily conducted within 30 days of concluding the investigation.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.86 (b)-2	Excluding only "unfounded " incidents, in the past 12 months, the number of administrative investigations of alleged sexual abuse completed at the facility that were followed by a sexual abuse incident review within 30 days:		
115.86 (c)-1	The sexual abuse incident review team includes upper-level management officials and allows for input from line supervisors, investigators, and medical or mental health practitioners.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.86 (d)-1	The facility prepares a report of its findings from sexual abuse incident reviews, including but not necessarily limited to determinations made pursuant to paragraphs (d)(1)-(d)(5) of this section, and any recommendations for improvement and submits such report to the facility head and PREA Compliance Manager.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD REPORTS OF FINDINGS FROM SEXUAL ASSAULT INCIDENT REVIEWS
115.86 (e)-1	The facility implements the recommendations for improvement or documents its reasons for not doing so.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DOCUMENTATION SUPPORTING IMPLEMENTATION OF RECOMMENDATIONS OR DOCUMENTATION OF REASONS FOR NOT IMPLEMENTING RECOMMENDATIONS
§115.87 – Data collection.			
115.87 (a)/(c)-1	The agency collects accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY ON SEXUAL ABUSE DATA COLLECTION Refer to page/section: UPLOAD SET OF DEFINITIONS
115.87 (a)/(c)-2	The standardized instrument includes, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence (SSV) conducted by the Department of Justice.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DATA COLLECTION INSTRUMENT
115.87 (b)-1	The agency aggregates the incident-based sexual abuse data at least annually.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.87 (d)-1	The agency maintains, reviews, and collects data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.87 (e)-1	The agency obtains incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
115.87 (e)-2	The data from private facilities complies with SSV reporting re: content.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.87 (f)-1	The agency provided Department of Justice data from the previous calendar year upon request.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
§115.88 – Data review for corrective action.			
115.88 (a)-1	The agency reviews data collected and aggregated pursuant to §115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, response policies, and training, including: <ul style="list-style-type: none"> Identifying problem areas; Taking corrective action on an ongoing basis; and Preparing an annual report of its findings from its data review and any corrective actions for each facility, as well as the agency as a whole. 	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD DOCUMENTATION OF CORRECTIVE ACTION PLANS UPLOAD ANNUAL REPORT OF FINDINGS FROM DATA REVIEWS/CORRECTIVE ACTIONS
115.88 (b)-1	The annual report includes a comparison of the current year's data and corrective actions with those from prior years.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.88 (b)-2	The annual report provides an assessment of the agency's progress in addressing sexual abuse.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.88 (c)-1	The agency makes its annual report readily available to the public at least annually through its website.	<input type="checkbox"/> Yes <input type="checkbox"/> No	LINK TO WEBSITE WHERE ANNUAL REPORT IS AVAILABLE
115.88 (c)-2	If no, the agency makes it available through other means.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.88 (c)-3	The annual reports are approved by the agency head.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.88 (d)-1	When the agency redacts material from an annual report for publication, the redactions are limited to specific materials where publication would present a clear and specific threat to the safety and	<input type="checkbox"/> Yes <input type="checkbox"/> No	

	security of the facility.		
115.88 (d)-2	The agency indicates the nature of material redacted.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
§115.89 – Data storage, publication, and destruction.			
115.89 (a)-1	The agency ensures that the incident-based and aggregate data are securely retained.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY ON DATA STORAGE Refer to page/section:
115.89 (b)-1	Agency policy requires that aggregated sexual abuse data from facilities under its direct control and private facilities with which it contracts be made readily available to the public at least annually through its website.	<input type="checkbox"/> Yes <input type="checkbox"/> No	UPLOAD POLICY ON DATA AVAILABILITY Refer to page/section:
115.89 (b)-2	If NO, the agency makes it available through other means.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.89 (c)-1	Before making aggregated sexual abuse data publicly available, the agency removes all personal identifiers.	<input type="checkbox"/> Yes <input type="checkbox"/> No	
115.89 (c)-2	The agency maintains sexual abuse data collected pursuant to §115.87 for at least 10 years after the date of initial collection, unless federal, state, or local law requires otherwise.	<input type="checkbox"/> Yes <input type="checkbox"/> No	IF FEDERAL, STATE, OR LOCAL LAW REQUIRES OTHERWISE, UPLOAD A COPY OF THE APPLICABLE LAW