Lesson Title: SAB/VV Administration/ Interpretation Criteria (PREA-Train the Trainer)

Course: TOT Training of Trainers

Duration of Lesson: 4 hrs for TOT, 1 hr for SAB/VV

Prepared by: James Lonergan & Dave Maynard

Expert Consultation Provided By:

Date Created: March 8, 2012       Date Revised:

Instructor Student Ratio: 1/30

Performance Objectives:
• Participants will have understanding and knowledge of how to utilize and train the SAB/VV including assessment and override.

Evaluation of Objectives:
• Participants will be observed by trainers while conducting scenarios to demonstrate their knowledge and abilities regarding the implementation of SAB/VV.

Training Materials:
Flip Chart/ Stand
PowerPoint Equipment
**Lesson Plan**

**Anticipatory set**

**Say:** Today we are all working at a facility that has consistently been just under capacity, we are all happy because our bed management has been easily accomplished despite most of our youth being listed as NDR. For some reason the sheriffs office brings a larger than usual group of intakes for us. As the shift supervisor thoughts start racing through your mind “Where are they going to go?”.

**Ask:** Where are they going to go? How are we going to get them there?

**Section I: The SAB/VV**

**Say:** The SAB/VV or the Sexually Aggressive Behavior/ Vulnerability to Victimization/ Overall Risk Assessment Instrument as we all know from our prior trainings is the instrument we use to determine if a you is placed on NDR status or Roommate status. During this training we will explain how to administer the SAB/VV including how to do an override, and give you the skills necessary to train others that will administer the instrument. This one-hour training purpose is to ensure understanding and use of the SAB/VV. It can be used in conjunction with other PREA trainings to achieve required training hours.

**Section II: Administering the SAB/VV Interview**

**Handout** Copies of SAB/VV

**Say:** Everyone has got three copies of the SAB/VV, take a moment to review it before we get started (give a moment). As you can see the SAB/VV has three sections to it; first the interview questions, second the scoring, and last the override. We are going to start with the interview questions.
Explain:

- After developing rapport with the juvenile, completion of the SAB/VV assessment instrument shall be done in the Colorado TRAILS database where available or hard copy.

- Rapport is established by introducing yourself, asking where they are from, etc. Explain that you have some standard questions that are asked of every juvenile who is admitted to a DYC facility or contract program. The pamphlet, “What you should know about sexual abuse” may be given at this time.

- Questions to ask juvenile. A copy of the instrument is not given to the juvenile. It is read to them. For juveniles whose native language is Spanish, there is a Spanish version available (Note: Not every facility has this. Some use interpreters or Spanish speaking staff.)

- If a youth answers a question(s) differently or contradictory to documented information we have, we will utilize the documented information to score.

- For each of the questions write the appropriate score in the grey box labeled “Score”.

Say: Lets look at each question and scoring individually.

**Question 1.** Have you been in a locked juvenile facility?  
If youth does not have a past history the score for this question is 2.  
If the youth does have a past history the score is 0.

**Question 2.** Social Skills (Three Part Question)  
- Do you feel you get along well with other people? (Circle response yes/no)  
  If “yes” score of 0.  
  If “no” score of 1.

- Do you find it easy to make friends? (Circle response yes/no)  
  If “yes” score of 0.  
  If “no” score of 1.

- Do you feel OK about being in groups of people you don’t know well? (Circle response yes/no)  
  If “yes” score of 0.  
  If “no” score of 1.

For this question you will add the three scores together to get a “Social Skills” score of 0-3.
**Question 3.** Perception of Risk- Do you feel at risk from attack or abuse from other youths? (You can further explain: have you received threats, insults, and harassment from other youths?)
You may prompt with the options if necessary.
If “Not at All” Score of 0.
If “Sometimes” Score of 1.
If “Often” Score of 2.

There is space to document details and responses for “often” and “sometimes” responses, document all pertinent information in this section.

**Question 4.** History of Victimization (Two part question, emphasize we will not add the scores of the two parts- each score stands alone)
- Have you ever been attacked, bullied or abused by people your own age (peers)?
  If “Never” Score of 0.
  If “A few times” Score of 2.
  If “Often” Score of 4.

- Have you ever had a sexual experience that you did not want to have?
  If “no” score of 0.
  If “yes” score of 4.

NOTE- If yes, ask what & if this information was reported to the Abuse Registry and law enforcement. If the youth reports abuse that has never been reported, a report must be made to the Abuse Registry.

**Question 5.** Offense Type (Two part question, emphasize we will not add the scores of the two parts- each score stands alone)
- Have you ever been arrested on a sexual offense?
  If “no” score of 0.
  If “yes” score of 4.

- Have you ever been arrested on a violent offense?
  If “no” score of 0.
  If “yes” score of 4.

Any of the following constitute a violent offense: used or possessed and threatened to use a deadly weapon, caused serious bodily injury or death to any person except another participant, a crime against an at-risk juvenile or at-risk adult, first or second degree assault, kidnapping, aggravated robbery, first degree arson, first degree burglary, escape, criminal extortion, or a sexual offense.

**Question 6.** Have you ever engaged in behavior that you would consider violent or sexually aggressive?
If “no” score of 0.
If “yes” score of 4.
**Question 7.** Age of Youth  
10-12 years score of 3.  
13-15 years score of 2.  
16-18 years score of 1.  
19+ years score of 0.

**Question 8.** Intellectual Impairment  
From the file review is there any evidence that this youth has been previously reported to have an intellectual impairment (Low IQ), learning disability or Special Education classes?  
If No Evidence exists score of 0.  
If Evidence exists score of 2.

**Question 9.** “Lack of fit” with juvenile justice facility culture  
This item requires a judgment by the screener that this youth is unlikely to “fit in” within the mainstream juvenile offender culture.

Explain: For question #9 the staff will have to observe physical features, behaviors, and other features. For each observed feature mark with a check next to each of the following items.

**Look for features of the youth’s physical appearance such as:**  
- Small Build  
- Looks younger than stated age  
- Impaired vision (requires glasses)  
- Pronounced disfigurement  
- Physical disability  
- Deaf  
- Appears frail, weak

**Look for features of the youth’s presentation and behaviors such as:**  
- Inappropriate verbal behavior (e.g., giggling, odd remarks)  
- Inappropriate physical behavior (boys wearing makeup, sexual behavior)  
- Hunched fearful posture (e.g., very fearful, very shy)  
- Obvious effeminate behavior  
- Acts of Aggression – observation  
- Youth’s behavior with the sibling(s)/residents  
- Youth’s behavior in school  
- Speech impediment  
- Appears slow or “dull”  
- Behaviors that are likely to irritate and annoy other youths (e.g., immature, silly)  
- Behaviors that appear related to mental illness (e.g., jittery, crying, bizarre)

**Look for features of the youth which make him or her stand out such as:**  
- Having a lack of exposure to criminal lifestyle
- Being from an ethnic minority not well represented in the offender population (e.g., Vietnamese, Indian, Middle Eastern)
- Membership in a gang that is likely to be a target of attack from others.

If the youth has:
None or One feature a score of 0.
Two or Three features score of 2.
Four or more features score of 4.

Explain: There is a place to document other features not listed above, any other outstanding observances can me noted here.

**Question 10. File Review:** (Two part question, emphasize we will not add the scores of the two parts- each score stands alone)

- Does file indicate the youth has been charged with a sex offense?
  If Information is not available score of 0.
  If “no” score of 0.
  If “yes” score of 2.

- Any information suggests prior sexual aggression or sexual victimization of others?
  If Information is not available score of 0.
  If “no” score of 0.
  If “yes” score of 2.

**Section III: Scoring the SAB/VV**

**Say:** Now that we have reviewed all the questions on the SAB/VV I would like for you to practice using the instrument and score it. I am going to give you three faux client responses and files, from the information provided I want you to administer the SAB/VV on the three faux youth. Stop when you get to the Overall Risk Score section of the SAB/VV.

**Handout** faux responses and files
Give the group ten to fifteen minutes to complete the tasks.

**Say:** Now we are going to look at scoring the SAB/VV. We already assigned scores to individual questions, now we are going to tally our scores for an Overall Risk Score which is comprised of two components.

The first component is the Vulnerability to Victimization, which is comprised of scores from questions
1. Experience in institution
2. Social Skills
3. Perception of Risk
4. History of Victimization
7. Age of Youth
8. Intellectual Impairment
9. “Lack of fit” with juvenile justice facility culture

Record the score from each question in its correlated score column. Note that for questions with multiple parts (all except #2 Social Skills) you will have to add the scores of the different parts to get the appropriate Overall Risk Score. Once scores for questions 1,2,3,4,7,8, and 9 have been entered add them together for an Overall Score for Vulnerability to Victimization. Enter this score in the space provided.

Next we are going to score for Sexually Aggressive Behavior, its score comes from questions
5. Offense Type
6. Violent Behavior
10. File Review

Record the score from each question in its correlated score column. Note that for questions with multiple parts you will have to add the scores of the different parts to get the appropriate Overall Risk Score. Once scores for questions 5,6, and 10 have been entered add them together for an Overall Score for Sexually Aggressive Behavior. Enter this score in the space provided.

We now have an overall score for:
Vulnerability to Victimization and Sexually Aggressive Behavior
We will now add these two scores together to attain our Overall Risk Score- document in the appropriate space provided-and. The SAB/VV will use the Overall Risk Score, the Vulnerability to Victimization score and Sexually Aggressive Behavior score to give us our Risk Level.

If the Vulnerability to Victimization score is
1-4 the risk level is LOW
5-8 the risk level is MEDIUM
9-20 the risk level is HIGH

If the Sexually Aggressive Behavior score is
1-3 the risk level is LOW
4-6 the risk level is MEDIUM
7-16 the risk level is HIGH

If the Overall Risk score is
0-8 the risk level is LOW
9-16 the risk level is MEDIUM
17 & above the risk level is HIGH
Document the Overall Risk level according to the overall risk score in the space provided.
When determining if a youth should be placed on NDR status first check the Overall Risk Score for a “High” level. If the youth did have a “High” risk level then they should be placed on NDR status. If the overall risk score does not indicate a “High” risk level check the risk levels from the Vulnerability to Victimization score and the Sexually Aggressive Behavior score. If either of these were a “High” risk level then the youth would be placed on NDR status.

**Emphasize:** If a youth has a High Risk on Vulnerability to Victimization or Sexually Aggressive Behavior or the Overall Risk level then they will be placed on NDR status.

**Say:** If a youth scores as NDR check the NDR box and the “yes” box for documentation. If they are not NDR they are considered Double Room and its box should be checked as well as the “no” box for documentation.

**Section IV: Override**

**Say:** In the event that you need movement to a lesser or higher risk pod or room assignment, the Shift Supervisor will determine movement. Proper documentation must be stated as to the reason why youth is moved, i.e., behavior, maturity, facility count, etc. In support of an override please obtain collateral information from file review and/or parent/guardian contact. Utilize the below override criteria chart specific to the designated status. Determination for movement will be based on the most recent SAB/VV completed and will be based on specific designation. Youth who score into a designation of roommate, may be overridden to an NDR with proper justification and documentation. Utilize the criteria for override guide for removal from NDR status.

**Define Terms:**

**Initial Facility/Intake Assessment/Review Override Criteria:** Each separate intake into a respective facility: This column for override decisions will be used for all youth each time the youth has an intake into the facility regardless of previous intakes. The purpose stems from the unknown behaviors of the youth. Previous behavior at a facility may be used as an indicator for override but given the unknown behaviors of the youth between intakes; time observing and working with youth will be needed prior to designating the youth as a tenured youth.

**Tenured youth in facility override criteria:** Youth in a respective facility for a consistent period of time. Each facility will determine period of time within implementing procedure for designation of “tenured.” Determination will be made based on, but not limited to programming aspects, lengths of stay, behavior management aspects and clinical observation.

**Say:** In order to perform an accurate override we must first use the following Procedure:
1. Determine specific NDR designation (Overall, Victimization, and Sexually Aggressive). – Youth may present with one or more high designations. Youth who have scored high in two areas will have a high designation in either Sexually Aggressive or Victimization and Overall. In cases where there is a dual high designation, Overall criteria for overriding will be used.

2. Determine youth status (Initial or Tenured). Determination will be made based on the following terms and facility implementing procedure.

Define Terms:

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3. Utilize the appropriate table and information to determine override opportunity.

**Handout** High Score Designation Tables

Say:

If a youth scored High for Sexually Aggressive Behavior but not Overall or Vulnerability to Victimization we will use the table for High Score designation for Sexually Aggressive Behavior.

**Ask**: When would we use the High Overall Designation chart? **Solicit answers** (Correct is if there is a High for Sexually Aggressive Behavior and Designation for Victimization or the Overall Risk is High)

**Ask**: When would we use the High Designation for Victimization table? **Solicit answers** (Victimization scored High but Overall and Sexually aggressive did not)
Say: After we decide what reason we are high risk Sexually Aggressive Behavior, Designation for Victimization (from Vulnerability to Victimization), or Designation Overall, we are then going to then decide if the youth is Initial or Tenured according to the defined terms we discussed.

Explain the tables: on the top is the High Score Designation, the first column is the questions number involved for that category, the second column is an overview of the question, the third column is for youth designated at the Initial Status, and the fourth column is for youth designated as Tenured. To use the chart to find if the override is an option we will address each question on the appropriate table according to the Initial or Tenured Status.

Lets look at the table for Sexually Aggressive Behavior, for an Initial status youth if question #5 was answered yes then they are not an option for an override, if they answered no then we look at questions 6 and 10. If they answered yes to one or both of these questions we must address the override criteria listed for each yes, if no was the answer then we do not need to address that question in regards to an override. It states according to #6 If yes, override may be appropriate with documented history and detail of actins taken to mitigate risk. Now for each question that states “If yes, override may be appropriate” we must address the requirements listed. Regardless of the table used or if youth is Initial or Tenured we will address each question and follow the appropriate steps per question.

Reminder to group: Each question that received a score other than zero on any table must be addressed per the criteria for override.

Addressing the requirements for Override criteria per question. In order to justify the override we must document why we found the criteria met. We will use documented history and documented actions taken with the youth to do so. Clarifying all documentation is important, for example we don’t want to state that the a youth is medication stable, we would instead state that he has been stable on his prescribed medications__________ for ____ reason, starting on _____ Date. We would also describe where we got this information and if possible document any behavior changes since being stable on the medications- also provide where we got the documented behavior changes.

Upon reaching override determination override justification will be documented on the bottom of the most recent SAB/VV.

Ask group for questions or clarity.

Say: now that we have heard how to do an override lets get out our two faux clients paperwork that scored as NDR status. Using the given file information I want you to attempt an override for each of the youth following the guidelines provided in the High Score designation tabled. (Allow 10-15 minutes)
Now let's review your results and see if we would be able to do an override, and how we justified/ documented it.

**Solicit** group to share their findings, process findings with the group.

**Conclusion**

**Say:** I would like to thank everyone today, we learned a lot about administering the SAB/VV, we had hands on experience filling them out, scoring them, and attempting overrides. Are there any questions about the material we covered today or is there anything that I can help clarify before we conclude.

**Answer** any related questions, field comments.

**Say:** If there is nothing else please enjoy the rest of your day.

**Attachments/ Handouts**
**High score designation for Sexually Aggressive Behavior**

Review all questions from SAB/VV youth scored higher than a zero. Determination for override will be based on a combined review of all questions unless a specific override criterion indicates override not appropriate.

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<th>Initial Facility/Intake Assessment/Review Override Criteria</th>
<th>Tenured youth in facility override criteria</th>
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<tr>
<td>Question 5: Offense Type</td>
<td>Does the youth have a history of violent and/or sex offenses (Ex: assault, arson, sex assault, attempted murder, etc.)</td>
<td>If yes, override not appropriate.</td>
<td>If yes, override may be appropriate with documented history and detail of actions taken to mitigate risk.</td>
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<td>Question 6: Engaged Behaviors</td>
<td>Does the youth have a history of engagement in behaviors that would be considered violent or sexually aggressive</td>
<td>If yes, override may be appropriate with documented history and detail of actions taken to mitigate risk.</td>
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<td>Question 10: File Review</td>
<td>Previous charges, charged with sex offense? Review any information that would suggest prior sexual aggression or sexual victimization of others. Placement history including what is the resident’s history of documented behaviors and interactions while detained (a review of all rule violations and behavior observations in Trails and hardcopy paperwork)</td>
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**High Score Designation for Victimization**
Review all questions from SAB/VV youth scored higher than a zero. Determination for override will be based on a combined review of all questions unless a specific override criterion indicates no override allowed.

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<td>Review youths previous placements for frequency and duration – less placements indicate a greater risk</td>
<td>Override may be appropriate based on a review of other risk factors and appropriateness based on full review</td>
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<td>Question 2: Social Skills</td>
<td>Interview youth for feelings surrounding ease/discomfort with social interactions – Review specific questions answered yes in question 2.</td>
<td>If youth is uncomfortable with all social interactions noted on SAB/VV override may be appropriate with documented history and detail of actions taken to mitigate risk.</td>
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<td>Does the youth feel at Risk from attack or abuse from others – Review specific responses from SAB/VV</td>
<td>If youth responded with OFTEN, Override not appropriate. If youth responded with SOMETIMES override may be appropriate with action taken to mitigate risk and roommate consideration</td>
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<td>Does the youth have a history of being vulnerable to victimization (Ex: abuse history, size, age, maturity level, physical disabilities, mental health history, gang affiliations, exposure to criminal lifestyle, etc.) if yes provide justification for override</td>
<td>If youth responded with OFTEN, Override not appropriate. If youth responded with A FEW TIMES override may be appropriate with action taken to mitigate risk and roommate consideration</td>
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<td>Review all checked boxes on the SAB/VV.</td>
<td>Dependent of features indicated, override may be appropriate with documented history and detail of actions taken to mitigate risk.</td>
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**High Score Designation Overall**

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Youth #1
Responses to questions 1-10
1. Yes
2. Yes, Yes, Yes
3. Not at all
4. Never, No
5. No, No
6. Yes
7. 16
8. No Evidence
9. No applicable features noticed
10. No, No

Youth #2
Responses to questions 1-10
1. Yes
2. Yes, Yes, Yes
3. Not at all
4. Never, No
5. Yes, No
6. Yes
7. 14
8. No Evidence
9. Identified areas- Looks younger than stated age, Inappropriate verbal behavior
10. Yes, No

Youth #3
Responses to questions 1-10
1. No
2. No, No, No
3. Often
4. Often, Yes
5. Yes, No
6. Yes
7. 14
8. Evidence
9. Identified areas- small build, appears frail or weak, Inappropriate verbal behavior, behaviors that are likely to irritate and annoy other youth.
10. Yes, No

File Highlights: Youth #2 Timmy is a fifteen year old that has been in the facility for seven days, it is his second time in the facility due to a curfew issue that was not pursued. Pending charge for the youth is sexual assault; he was charged with grabbing a female classmates genitals during the lunch break at school. According to the file the youth reported that he had been dared by his peers to grab the girl whom he had known for years, he admitted to the action and stated that he should not have done it. Additionally the youth had been suspended from school for being in the girl’s restroom during a break. He states that he was playing a joke on some friends when he got busted. The file states that when he was twelve he had numerous incidents documented by his school that two youth were bullying him in class, according to the report the two youth were suspended which ended the bullying. Documentation states that Timmy reported that the bullies picked on everybody, they were older kids that failed a few times and were always in trouble. According to the file the youth has gotten good grades in school with a limited number supervision issues, however the issues he did have were severe.

Observable Notes: Timmy does not show signs of puberty yet, although he is fifteen he does not appear to have any facial hair, he is average size, and his tone appears young. During discussion the youth overemphasizes minor points, he has a limited vocabulary and uses a lot of slang. Timmy since arriving has
been following facility rules, he has not received any incident reports and has expressed to the staff that he wants to do what is right to get back out.

**File Highlights**: Youth #3  Bob is a fourteen year old that has been in the facility for three weeks pending a sex offense charge, he has never been in a facility before. According to the file Bob was arrested for having a significantly younger peer at his foster home perform oral sex on him. The file states that he was in various foster homes over the past few years and never really fit in to any of them. Additionally it states that he tends to isolate or gravitate towards younger youth. Many of the reports from the foster homes indicate that Bob often showed up with bruises that he could not or refused to explain how they appeared. Bob has a history of victimization that indicates his mothers’ boyfriend would force him to engage in sexual behaviors from age 9 to 12, no charges were ever placed on the accused. According to the file Bob attended school on a regular basis despite being moved around. He Had an IEP beginning at age 10. **Observable Notes**: Bob is a small youth for his age at about 95lbs and appears weak. He does not engage in serious conversations without laughing or getting off topic and staff struggle to work with him due to his persistent game playing and irritating others. Bob has been assessed for his education needs and the educational staff are of the opinion that he has a strong educational background and that the IEP may not be necessary one he settles into a stable environment. Bob spends a lot of his time talking to younger youth and has been confronted and given incident reports for discussing sexually inappropriate topics.