

## Pre-Onsite Phase

### Post Notice of Upcoming Audit

*(post notice at facility with auditor's contact info 6 weeks prior to the audit for confidential communication)*

### Communicate with Community-Based or Victim Advocates

### Agency/Facility Questionnaire

*Completed by PREA Coordinator with input from agency head, facility director, etc., as necessary.*

#### ☰ Instructions for completing

☰ Agency/facility information (name, address, contact info, etc.)

#### ☰ Information requested by standard:

- Provide questions from audit tool and data
- Upload policies/procedures and open-text of pages/sections indicating location of specific policy information required by standard; and
- Upload documentation requested.

### Initial Auditor Review and Discussions With PREA Coordinator

*Auditor reviews agency/facility responses to pre-audit questionnaire and has follow-up call(s) with PREA Coordinator to get clarification and explain the audit process.*

### Auditor Review of Submitted Agency/Facility Questionnaire and Policies/Procedures

*Auditor begins Auditor Compliance Tool*

## Onsite Phase

### Facility Tour

☰ Instructions/guidance for conducting tour

### Additional Document Review

### Staff Interviews

☰ Agency head (or designee)

☰ PREA Coordinator

☰ PREA Compliance Manager (if one exists)

☰ Facility director (or designee)

☰ Random sample of staff

☰ Specialized staff\*

### Detainee Interviews\*\*

## Post-Onsite Phase

### Auditor Compliance Tool

☰ Response for each measure based on:

- Review of policies/procedures;
- Review of documentation;
- Review of data;
- Interviews with detainees and staff; and
- Tour of facility.

☰ Auditor uploads additional documentation gathered onsite.

☰ Determination of compliance with each standard:

- Guidelines provided for auditors
- Auditors provide commentary with justification for decision

☰ Overall determination of compliance (guidelines provided to auditor)

### Auditor Report

*(auditor generates final report and sends it to agency no later than 30 days after completion of on-site audit)*

### Corrective Action Plan

*(180 days CA period – begins the day that the agency receives the interim report)*

### Final Report

*(final report delivered to agency within 30 days of completion of corrective action period)*

### Agency Appeal

*(agency has 90 days from receipt of final report to appeal audit findings to DOJ)*

\*Specialized Staff Interviews should include:

- The agency contract administrator;
- Non-medical staff involved in cross-gender strip or visual searches;
- Administrative (human resources) staff;
- Volunteers and who may have contact with detainees;
- Contractors and inmates who work in the facility who may have contact with detainees;
- Investigative staff;
- Staff who perform screening for risk of victimization and abusiveness;
- Staff on the incident review team;
- The designated staff member charged with monitoring retaliation;
- First responders, both law enforcement and non-law enforcement;
- Security staff; and
- Intake staff.

\*\* Detainee Interviews should include:

- A random sample of detainees;
- Juvenile/youthful detainees
- Disabled and limited English proficient detainees;
- Transgender and intersex detainees.