What to Expect From Your PREA Auditor:
Lessons Learned in North Dakota

November 18, 2015
Logistics

• Use the **questions box** to ask a question

• An archive of this webinar will be posted on the PREA Resource Center website: prearesourcecenter.org
Agenda

- Opening Remarks
- Overview: North Dakota Department of Corrections and Rehabilitation (DOCR)
- DOCR Approach to PREA Implementation
- PREA Audit Prep
- What to Expect From Your PREA Auditor: Pre-Audit
- What to Expect From Your PREA Auditor: Onsite Audit
- What to Expect From Your PREA Auditor: Post-Audit
- Auditor Discussion
- Lessons Learned
- Questions and Answers
Presenters

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DOCR Overview

Four DOCR-Owned Facilities

- North Dakota Youth Correctional Center
  » 115 beds, coed youth facility
  » Youth treatment, detention, and assessment

- Missouri River Correctional Center
  » 155 beds – minimum security transitional facility

Onsite audits conducted July 2014

- James River Correctional Center
  » 410 beds – medium security

- North Dakota State Penitentiary
  » 862 beds – maximum security

Onsite audits conducted July 2015
Two Additional Facilities Under Governor’s Operational Control

- Dakota Women’s Correctional and Rehabilitation Center
  » 126 beds, female multi-classification

- Tompkins Rehabilitation and Correctional Center
  » 90 beds – 60 male, 30 female

Onsite audits conducted October 2014
DOCR Approach to PREA Implementation
DOCR PREA Commitment

- PREA is good correctional practice
- Inmates have a right to be free from sexual abuse
- PREA is part of how we manage those in our care

PREA Team Approach

- No one person or position can do it
- Use of the PREA team
- Transparency at every step
- Top-down commitment
Audit Motivation

- Being ready, means go
- Getting out in front with compliance

Long-Term PREA Goals

- Continued compliance
- PREA is part of everyday inmate decisions
Goal: PREA Audits Complete – August 2015

How DOCR Achieved Their Goal

- PREA Audit Prep Team established timelines
  » Approved by director
  » Notified facility management teams

- Designed and distributed audit prep materials

- All staff invited to open meeting about the audit

  Transparency at every step.
Auditor Selection

DOCR Approach to Selecting Auditors

Two auditors for each adult facility

- Efficiency
- Better use of resources

*Quality and integrity worth the cost.*
Audit Phases

- Pre-Audit
- Audit
- Post-Audit
Pre-Audit

Logistics and Coordination

- Pre-audit questionnaire
  » Facility completes
  » Sharing of document and document retention
  » Confidentiality
  » Thorough auditor review before site visit

- Coordinating and scheduling onsite portion
  » Posting of the audit notice
  » Interview scheduling
  » Space to work
  » Site review
  » Record location

- Tentative onsite audit schedule
Onsite Audit

Communication and Flexibility

- In-briefing
- Implementation of schedule
- Flexibility to adjust as needed
- Request to provide additional documentation
- Out-briefing – who to involve and what to expect
  » Not a full review of findings
- Expectations of transparency
- Practice-based audit
- Adequate time onsite
  » No less than two to three days (facility size-dependent)
Post-Audit

**Clarification and Written Report**

- Clarification of information reviewed
- Request for additional information
- Interim report
- Corrective action
  - Development of the corrective action plan
  - Execution of the corrective action plan
- Final report
- Contract conclusion
Auditor Perspective

Relationship Building

- Positive approach
- Auditor confidence and articulation of the standards
- Expectations of the audit process and PREA methodology
Auditor Perspective

Collaborative Work Relationship

- Start communication early and convey auditor style and facility/agency understanding of the PREA standards

- Auditor to get an understanding of the status in PREA compliance

- PREA audit methodology; accurate expectations

- Can vary depending on the motivation for audit (contracted/private facilities)

- Auditor, be true to the assurance of transparency; desire to educate instead of a “gotcha” situation
Auditor Perspective

Smooth Audit Process

- Share and educate desire to assist agency on their PREA journey
- Avoid/prevent defense mechanisms from the facility/agency
- Sufficient time onsite
- Expect a quality product (auditor summary report)
Auditor Perspective

Auditor Goal

- Perpetuate culture change
- Create environment in which motivation and understanding to achieve and maintain PREA compliance exists
- The “right” reasons
- Branding sexual safety and PREA
Lessons Learned

Facility Expectations of Auditor

- Practice-based audit
- Quality and integrity
- Extensive PREA knowledge
- Review of all materials and documents provided
- Communication
- Flexibility
- Setting expectations
- Confidentiality
- Adequate time onsite
- Thorough and timely reports
- Collaborative corrective action plan development and execution
Questions and Answers
For More Information

For more information about the National PREA Resource Center, visit: www.prearesourcecenter.org

To request assistance, visit: http://www.prearesourcecenter.org/training-technical-assistance/request-for-assistance

Direct questions to: http://www.prearesourcecenter.org/about/contact-us

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