

Internal Audit

Internal Audit is an independent, objective, assurance and consulting activity designed to add value and improve the department's operations. Internal Audit helps the department accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control and governance processes.

Internal Audit provides assurance that controls are adequate to mitigate risks, policies and procedures are being followed throughout the department, processes are efficient, effective and economical, and management is meeting the department's goals and objectives.

To ensure independence and objectivity, the Internal Audit administrator reports to the director and deputy director of the department.

Office of the Inspector General

Inspector General

The Office of the Inspector General provides an oversight function on behalf of the director and deputy director of the Department of Corrections. The Office of the inspector general was created in 1990 as recommended by an investigative report to the Governor. The inspector general has broad responsibility for oversight of suspected, alleged or actual misconduct within the Department of Corrections, reporting to the director or deputy, and to other officials as required by law and the DOC Code of Conduct.

[more](#)

Special Investigations Unit

The Special Investigations Unit provides investigative services to all ODOC institutions and facilities. The unit has investigators specializing in fugitive apprehension and prevention, drug and contraband investigations, investigations and employee misconduct. The unit is a resource to federal, state and local law enforcement agencies by providing them with intelligence and investigative assistance as it relates to inmates' criminal misconduct.

[more](#)

Office of the Inspector General

Most Wanted

The Department of Corrections Fugitive Apprehension Unit assists police in locating and apprehending inmates who escape from prison, walk away from a work crew, or abscond from community supervision.

[click here for our Most Wanted page](#)

Inspector General Links

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About Us

Office of the Inspector General

Vision

Department of Corrections Vision:

- We take a proactive role in the development of criminal justice policy.
- We create partnerships with Oregon communities to hold offenders accountable, engage victims, and enhance the quality of life for the citizens of Oregon.
- We are a committed, creative, and productive organization which recognizes safety and security as an essential business practice.
- We require sound fiscal management of public resources using outcome-oriented strategies.
- We provide offender programs and resources which support the department's mission.
- We are a diverse, skilled work force which shares the responsibility for outcomes across organizational boundaries.

Mission Statement

Department of Corrections Mission:

The mission of the Oregon Department of Corrections is to promote public safety by holding offenders accountable for their actions and reducing the risk of future criminal behavior.

Organization

[Annual Performance Measures](#)

The Office of the Inspector General provides an oversight function on behalf of the director and deputy director of the Department of Corrections. The division comprises two units: Investigations and Rules/Hearings.

The **Investigations Unit** is responsible for investigating allegations of inmate, employee, and visitor misconduct; collects evidence of criminal behavior for referral to the State Police; investigates conspiracies to introduce contraband into the prisons; monitors inmate communications; searches for fugitives; and performs other functions as requested by the director.

The **Rules/Hearings Unit** prepares and distributes the agency rules and procedures throughout the department. This unit is the department's liaison with the Department of Justice and performs official archival duties for the department. Hearings officers assigned to the unit adjudicate inmate misconduct, holding them accountable for their behavior through a matrix of sanctions that may include the loss of privileges, fines and disciplinary segregation time.

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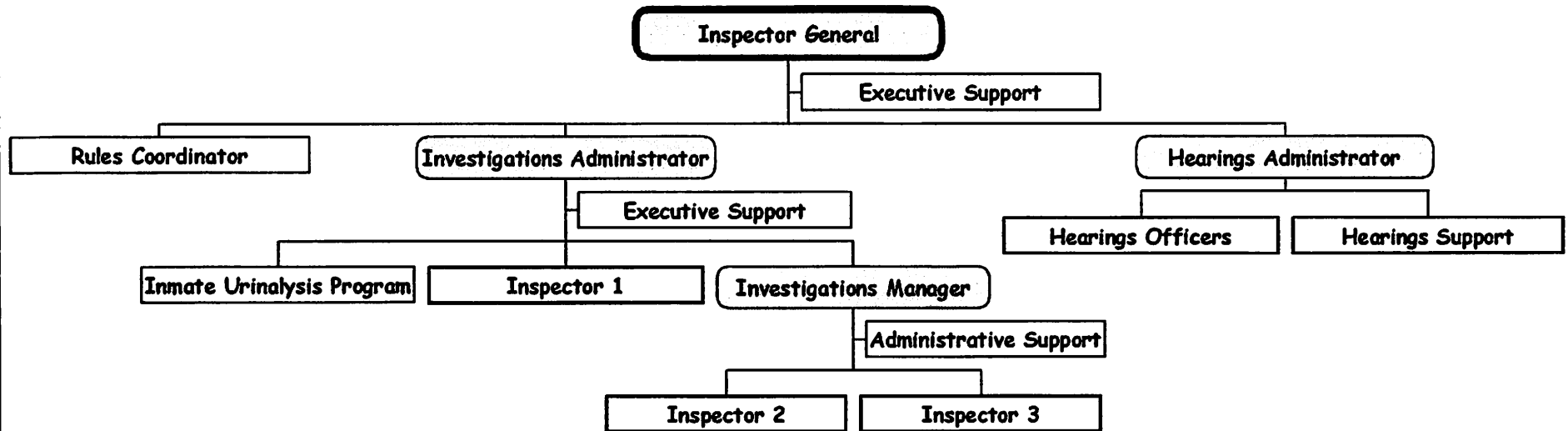
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Oregon Department of Corrections Inspections Division



Special Investigations Unit

Special Investigations Unit
Unit Responsibilities
Inmate Drug Testing

Special Investigations Unit

Colette S. Peters
Assistant Director for Public Services
and Inspector General



Colette S. Peters

The position of Inspector General was created in 1990 as recommended by an investigative report to the Governor. In 2006, the unit became part of the new Public Services Division. The Special Investigations Unit is managed by Colette S. Peters, who is assistant director for Public Services as well as Inspector General. The unit provides an oversight function on behalf of the director and deputy director of the Department of Corrections. The Special Investigations Unit is responsible for internal and external investigations, fugitive apprehension, and drug investigations. The unit supports the agency's compliance with missions and goals. The division also coordinates the inmate drug urinalysis testing program.

- **Special Investigations** investigates allegations of inmate, employee, volunteer and visitor misconduct; assists investigators from local, state, and federal law enforcement agencies gather intelligence and evidence; and provides internal review of situations involving the use of force.
- **Fugitive Apprehension** assists police in locating and apprehending inmates who escape or walk away from the supervision and control of the Oregon Department of Corrections or abscond from community supervision.
- **Drug Investigations** gathers information through investigatory techniques and canine searches to identify contraband in facilities and impede the introduction of contraband and controlled substances into DOC facilities.

Inmate Drug Testing

Inmate Drug Urinalysis Testing

The Investigations Unit manages the department's inmate random urine drug testing program in which a statistically valid sample of the inmate population is selected and tested for illegal substances each month through a computerized random selection process. In addition to random drug tests, the program also includes for cause testing based on reasonable suspicion of involvement with drugs or alcohol.

Drug testing of inmates by use of the random and for cause testing criteria, combined with appropriate graduated sanctions, is an effective means of suppressing drug use, drug trafficking and drug related violations prohibited within Department of Corrections facilities.

Unit Responsibilities



K-9 Chinook



Inspector Reid and K-9 Chinook

The Special Investigations Unit provides investigative services to all ODOC institutions and facilities. The unit has investigators specializing in fugitive apprehension and prevention, drug and contraband investigations, investigations and employee misconduct. The unit is a resource to federal, state and local law enforcement agencies by providing them with intelligence and investigative assistance as it relates to inmates' criminal misconduct. Its work primarily comprises:

Inspector General HOTLINE

Inspector General HOTLINE

This number may be used to report:

- Abuse
- Extortion
- Fraud
- Inmate prohibited conduct
- Staff misconduct
- Waste

This number should not be used to complain about routine institution operations.

Hotline information will be reviewed each business day; an investigation may result. If inmates provide false information, they may receive a misconduct report.

Hotline calls will not be charged to inmate phone accounts.

All messages left on the hotline are reviewed each business day. If the information is credible, follow-up action will occur. These actions may range from a referral to the appropriate superintendent or functional unit manager to an investigation conducted by the Special Investigations Unit. While callers are not required to identify themselves, if they do so then investigators can discreetly contact them. *The Inspector General requests that callers leave as much information as possible to aid the investigators.*

There are two hotline numbers. The phone number for the inmates is restricted to direct dialing only from the inmate phone system. The public and staff will be unable to access this number from any DOC or community phone.

The number for the public or staff is accessible from any DOC or community phone.

Public and Staff toll-free number: (877) 678-4222

Inmate toll-free number: (503) 555-1234



Issue Brief - 2008

OREGON DEPARTMENT OF CORRECTIONS

Office of Inspector General and the Security Threat Management Unit

Overview

To assist in the safety and security in Oregon's institutions the Department of Corrections (DOC) utilizes the Security Threat Management (STM) Unit in the Office of Inspector General. STM has three primary functions: high alert inmate management, Department-wide intelligence gathering, and investigative support to superintendents during major incidents.

Under this model, all staff are considered intelligence gatherers and work together to identify high-alert inmates. There are two ways an inmate can become high alert. First, there is a misconduct process that takes place when an inmate receives a Disciplinary Report (DR) and is found guilty of at least one of the 11 specific violations. This means inmates can become high-alert based on their conduct as institution staff members hold them accountable.

The second way an inmate can become high alert is through administrative action. Any DOC staff member can fill out a predicate statement if they feel an inmate needs elevated, proactive management. Institution staff and STM Lieutenants work collaboratively to gain intelligence and validate the inmate's security threat activity. Those inmates identified in such activity will be labeled high-alert through administrative action.

High-Alert Status

If the misconduct process is used, a DR must be completed, a violation cited and a hearing must be held, in which an inmate is found guilty. The following reasons can lead to STM management:

- Arson/Explosive Device
- Distribution
- High Risk Escapes
- Hostage Taking
- Inmate Assaults
- Inmate/Staff Relationships
- Interstate Transfer to Oregon
- Sexually Aggressive
- Staff Assaults
- Security Threat Group High Risk Behavior
- Weapons

There are currently 700 high alert inmates assigned to the STM Unit. Once an inmate is placed on high alert, the inmate is assigned to a STM Lieutenant, who will track the inmate's behavior and progress. The Lieutenant will manage high alert inmates and be cross-trained to conduct major investigations. STM Lieutenants work in partnership with institution staff to formulate management plans for each STM inmate. Currently, there are six STM Lieutenants strategically located across the state.

Each STM inmate will have an Inmate Management Plan with their STM Lieutenant. In situations where the inmate is not plan compliant the STM Lieutenant will work with staff (security, counselors, etc.) and can place restrictions on the inmate and while working conjunctively with the institution to bring the inmate into compliance. Some examples of possible restrictions include yard, canteen, phone and visiting restrictions.

Participation from DOC staff members is a central role in the success of the STM program. All DOC staff work together by providing intelligence, monitoring inmate behavior and assisting in the management of high alert inmates. This

Department-wide team approach will ensure safer institutions for staff and inmates and further the department's mission and commitment to the Oregon Accountability Model. ■

The mission of the Oregon Department of Corrections is to promote public safety by holding offenders accountable for their actions and reducing the risk of future criminal behavior.



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Overview

The position of Inspector General was created in 1990 as recommended by an investigative report to the Governor. In 2006, the unit became part of the new Public Services Division. The Inspector General also serves as the Assistant Director for the Public Services Division. The Office of Inspector General provides an oversight function on behalf of the Director and Deputy Director of the Department of Corrections (DOC).

The Inspector General has broad responsibility for oversight of suspected, alleged or actual misconduct within the DOC, reporting to the Director and Deputy, and to other officials as required by law and the DOC Code of Conduct. The role of the Inspector General is to investigate allegations of staff and/or inmate misconduct after all other avenues have been exhausted. Not all issues require the attention of the Inspector General, but no issue will be ignored.

Anyone can make an allegation against an inmate or staff member. Once an allegation is made, SIU is contacted and its trained investigators initiate an investigation. The SIU will act in an unbiased manner and find the facts to either confirm the accusation with evidence or to identify that the allegation was unsubstantiated or fraudulent.

SIU Responsibilities

The Special Investigations Unit (SIU) has a responsibility to its internal and external stakeholders to provide a service in assisting with the safety and security of our institutions and work places.

SIU provides investigative services to all DOC institutions and facilities. The unit has investigators specializing in fugitive apprehension and prevention, drug and contraband investigations, inmate investigations and investigations regarding employee, volunteer, contractor and visitor misconduct. The unit is a resource to DOC staff and federal, state and local law enforcement agencies by providing them with intelligence and investigative assistance as it relates to inmates' criminal misconduct.

The Fugitive Apprehension Unit

SIU assists law enforcement in locating and apprehending inmates who escape from the supervision and control of DOC or who walk away from community supervision.

The Fugitive Apprehension Unit is responsible for gathering information about inmates who escape from secure custody, or walk away from fenced and unfenced facilities and/or inmate work crews. This information is provided to law enforcement who assist us in locating and apprehending these escapees.

Drug and Contraband Investigations

During drug investigations, SIU gathers information through investigatory techniques and canine searches to identify contraband in facilities and impede the introduction of contraband and controlled substances into DOC facilities.

SIU manages the Department's inmate random urine drug testing program in which a sample of the inmate population is selected and tested for illegal

substances each month through a computerized random selection process.

In addition to random drug tests, the program also includes testing based on allegations that someone is involved with drugs or alcohol. Every month 200 inmates are targeted with drug tests and cell searches. The Department determines which inmates to test based on factors, such as previous drug use, that often lead to drug possession.

Drug testing of inmates by use of the random and for cause testing criteria, combined with appropriate graduated sanctions, is an effective means of suppressing drug use, drug trafficking and drug related violations prohibited within DOC facilities.

K-9 Unit

DOC has six handlers and detection canines. All DOC institutions, buildings and work sites are searched by canine teams. This service supports the Department's efforts in making our institutions more safe and secure by reducing drugs and other contraband.

Inspector General's Hotline

The Inspector General's Hotline may be used to report criminal activity, abuse by staff, volunteers, contractors or inmates, or issues related to the safety and security of the institution, staff and/or inmates.

All messages left on the Inspector General Hotline are reviewed each business day. If the information is credible, follow-up action will occur.

Inmate toll-free number: (503) 555-1234
Public and staff toll-free number: (877) 678-4222

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